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TD 1: TOPOLOGY ISSUES IN PRODUCT SPACES AND BANACH SPACES

**EXERCISE 1** (General topology).

1. Let  $f : E \rightarrow F$  be a continuous map between topological spaces. Show that  $f$  is sequentially continuous. Namely, show that if the sequence  $(x_n)_n$  converges to  $x$  in  $E$  then the sequence  $(f(x_n))_n$  converges to  $f(x)$  in  $F$ . Can we claim that if  $f$  is sequentially continuous then  $f$  is continuous ?
2. Let  $f : E \rightarrow F$  be a map between topological spaces. The function  $f$  is said to be continuous at  $x \in E$  if for all open set  $\mathcal{V}$  containing  $f(x)$ , there exists an open set  $\mathcal{U}$  containing  $x$  and such that  $f(\mathcal{U}) \subset \mathcal{V}$ . Check that, in this definition, “open set” can be replaced by “neighbourhood”.
3. Let  $X$  be a set,  $(F_i)_{i \in I}$  be a family of topological spaces and  $f_i : X \rightarrow F_i$  be some functions.
  - (a) Prove that the “coarsest topology that makes the functions  $f_i$  continuous” exists.
  - (b) Let  $g : E \rightarrow X$  be a function defined on a topological space  $E$ . Check that  $g$  is continuous if and only if for all  $i \in I$ ,  $f_i \circ g$  is continuous.
  - (c) Let  $(x_n)_n$  be a sequence in  $X$ . Prove that  $(x_n)_n$  converges to  $x$  if and only if for all  $i \in I$ ,  $(f_i(x_n))_n$  converges to  $f_i(x)$ .
4. Let  $(F_i)_{i \in I}$  be a family of topological spaces. We define the product topology on  $\prod_{i \in I} F_i$  as the “coarsest topology” making the projections continuous. Show that this topology is generated by the cylinder sets, *i.e.* the sets of the form  $C_J = \prod_{i \in I} U_i$ , where each  $U_i$  is open in  $F_i$  and  $U_i = F_i$ , except for a finite number of indexes  $i \in J$ .

**EXERCISE 2** (A theorem of Hörmander). Let  $1 \leq p, q < \infty$  and

$$T : (L^p(\mathbb{R}^n), \|\cdot\|_p) \rightarrow (L^q(\mathbb{R}^n), \|\cdot\|_q),$$

be a continuous linear operator which commutes with the translations, that is, which satisfies  $\tau_h T = T \tau_h$  for all  $h \in \mathbb{R}^n$ , where  $\tau_h f = f(\cdot - h)$ . The purpose of this exercise is to prove the following property: if  $q < p < \infty$ , then the operator  $T$  is trivial.

1. Let  $u$  be a function in  $L^p(\mathbb{R}^n)$ . Prove that  $\|u + \tau_h u\|_p \rightarrow 2^{1/p} \|u\|_p$  as  $\|h\| \rightarrow \infty$ .  
*Hint: you may decompose  $u$  as the sum of a compactly supported function and of a function with arbitrarily small  $L^p$  norm.*
2. Check that if  $C$  stands for the norm of operator  $T$ , then we have that for all  $u \in L^p(\mathbb{R}^n)$ ,

$$\|Tu\|_q \leq 2^{1/p-1/q} C \|u\|_p,$$

and conclude.

3. Can you give the example of a non-trivial such operator  $T$  when  $p \leq q$  ?

**EXERCISE 3** (Fourier coefficients of  $L^1$  functions). For any function  $f$  in  $L^1(\mathbb{T})$ , we define the function  $\hat{f} : \mathbb{Z} \rightarrow \mathbb{C}$  by

$$\hat{f}(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(t) e^{-int} dt, \quad n \in \mathbb{Z}.$$

We denote by  $c_0$  the space of complex valued functions on  $\mathbb{Z}$  tending to 0 at  $\pm\infty$ .

1. Check that  $(c_0, \|\cdot\|_\infty)$  is a Banach space.

2. Prove that, for all  $f \in L^1(\mathbb{T})$ ,  $\hat{f} \in c_0$ .

*Hint: Recall that the trigonometric polynomials  $\sum_{k=-n}^n a_k e^{ikt}$  are dense in  $L^1(\mathbb{T})$ .*

Now we study the converse question: is every element of  $c_0$  the sequence of Fourier coefficients of a function in  $L^1(\mathbb{T})$ ?

3. Prove that  $\Lambda : f \rightarrow \hat{f}$  defines a bounded linear map from  $L^1(\mathbb{T})$  to  $c_0$ .

4. Prove that the function  $\Lambda$  is injective.

5. Show that the function  $\Lambda$  is not onto.

*Hint: You may use the Dirichlet kernel  $D_n(t) = \sum_{k=-n}^n e^{ikt}$ , whose  $L^1(\mathbb{T})$  norm goes to  $+\infty$  as  $n \rightarrow +\infty$ .*

**EXERCISE 4** (Equivalence of norms).

1. Let  $E$  be a vector space endowed with two norms  $\|\cdot\|_1$  and  $\|\cdot\|_2$  such that both  $(E, \|\cdot\|_1)$  and  $(E, \|\cdot\|_2)$  are Banach spaces. Assume the existence of a finite constant  $C > 0$  such that

$$\forall x \in E, \quad \|x\|_1 \leq C\|x\|_2.$$

Prove that the norms  $\|\cdot\|_1$  and  $\|\cdot\|_2$  are equivalent.

2. Let  $K$  be a compact subset of  $\mathbb{R}^n$ . We consider a norm  $N$  on the space  $\mathcal{C}^0(K, \mathbb{R})$  such that  $(\mathcal{C}^0(K, \mathbb{R}), N)$  is a Banach space, and satisfying that any sequence of functions  $(f_n)_n$  in  $\mathcal{C}^0(K, \mathbb{R})$  that converges for the norm  $N$  also converges pointwise to the same limit. Prove that the norm  $N$  is then equivalent to the norm  $\|\cdot\|_\infty$ .

**EXERCISE 5** (A Rellich-like theorem). Let us consider  $E$  the following subspace of  $L^2(\mathbb{R})$

$$E = \{u \in \mathcal{C}^1(\mathbb{R}) : \|u\|_E < +\infty\}, \quad \text{where} \quad \|u\|_E = \|(\sqrt{1+x^2})u\|_{L^2(\mathbb{R})} + \|u'\|_{L^2(\mathbb{R})}.$$

The aim of this exercise is to prove that the unit ball  $B_E$  of  $E$  is relatively compact in  $L^2(\mathbb{R})$ , with

$$B_E = \{u \in \mathcal{C}^1(\mathbb{R}) : \|u\|_E \leq 1\}.$$

In the following, we denote by  $\phi$  a non-negative  $\mathcal{C}^\infty$  function such that  $\phi^{-1}(\{0\}) = \mathbb{R} \setminus [-2, 2]$  and  $\phi^{-1}(\{1\}) = [-1, 1]$ .

1. Considering the cut-off  $\phi_R(x) = \phi(x/R)$ , show that  $\sup_{u \in B_E} \|(1 - \phi_R)u\|_{L^2(\mathbb{R})}$  converges to 0 as  $R \rightarrow +\infty$ .

2. We define  $\psi_\varepsilon(x) = \frac{1}{\varepsilon} \phi(\frac{x}{\varepsilon})$  and  $\tau_h$  the translation operator (see Exercice 2). Show that for all  $R \geq 1$  and  $\varepsilon > 0$ , there exists  $C_{\varepsilon, R} > 0$  such that for all  $h \in \mathbb{R}$  and  $u \in E$ ,

$$\|\tau_h((\phi_R u) * \psi_\varepsilon) - (\phi_R u) * \psi_\varepsilon\|_{L^\infty(\mathbb{R})} \leq C_{\varepsilon, R} |h| \|u\|_E \quad \text{and} \quad \|(\phi_R u) * \psi_\varepsilon\|_{L^\infty(\mathbb{R})} \leq C_{\varepsilon, R} \|u\|_E.$$

3. Show that for any sequence  $(u_n)_n$  in  $B_E$ , there exists a subsequence  $(u_{n'})_{n'}$  such that for any  $R, \varepsilon^{-1} \in \mathbb{N}^*$ , the sequence  $((\phi_R u_{n'}) * \psi_\varepsilon)_{n'}$  converges in  $L^2(\mathbb{R})$  as  $n' \rightarrow \infty$ .

*Hint: Use Cantor's diagonal argument.*

4. Conclude.

5. Let us now consider the set  $B_{H^1} \subset L^2(\mathbb{R})$  defined by

$$B_{H^1} = \{u \in \mathcal{C}^1(\mathbb{R}) : \|u\|_{L^2(\mathbb{R})} + \|u'\|_{L^2(\mathbb{R})} \leq 1\}.$$

Is  $B_{H^1}$  relatively compact in  $L^2(\mathbb{R})$  ?