

FOREST AUGMENTATION PROBLEM

SEMESTER PROJECT UNDER THE SUPERVISION OF OLA SVENSSON

Igor MARTAYAN

June 14, 2022

EPFL

How to make a network both **resilient** and **affordable**?

How to make a network both **resilient** and **affordable**?

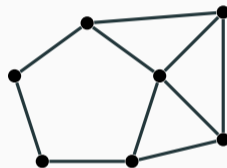
- resilient: stay connected even if some nodes / edges fail

How to make a network both **resilient** and **affordable**?

- resilient: stay connected even if some nodes / edges fail
- affordable: minimize the cost of the network

k -edge-connectivity

For every pair (s, t) , there are at least k edge-disjoint paths between s and t

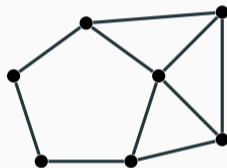


example of 2-edge-connected graph

k -edge-connectivity

For every pair (s, t) , there are at least k **edge-disjoint paths** between s and t

most of these problems are NP-hard

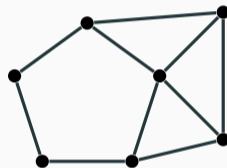


example of 2-edge-connected graph

k -edge-connectivity

For every pair (s, t) , there are at least k **edge-disjoint paths** between s and t

most of these problems are NP-hard
→ approximation algorithms



example of 2-edge-connected graph

- Graph augmentation: formulation, hardness, recent progress
- Matching augmentation: LP-based approximation algorithm

GRAPH AUGMENTATION PROBLEM

GRAPH AUGMENTATION PROBLEM

Graph augmentation

Input $G = (V, E)$, set of **light** edges $F \subseteq E$

Output Set of **heavy** edges $E' \subseteq E$ of min cardinality s.t. $(V, F \cup E')$ is
2-edge-connected

GRAPH AUGMENTATION PROBLEM

Graph augmentation

Input $G = (V, E)$, set of **light** edges $F \subseteq E$

Output Set of **heavy** edges $E' \subseteq E$ of min cardinality s.t. $(V, F \cup E')$ is
2-edge-connected

F can be a forest, a tree, a matching, a collection of paths...

GRAPH AUGMENTATION PROBLEM

Graph augmentation

Input $G = (V, E)$, set of **light** edges $F \subseteq E$

Output Set of **heavy** edges $E' \subseteq E$ of min cardinality s.t. $(V, F \cup E')$ is **2-edge-connected**

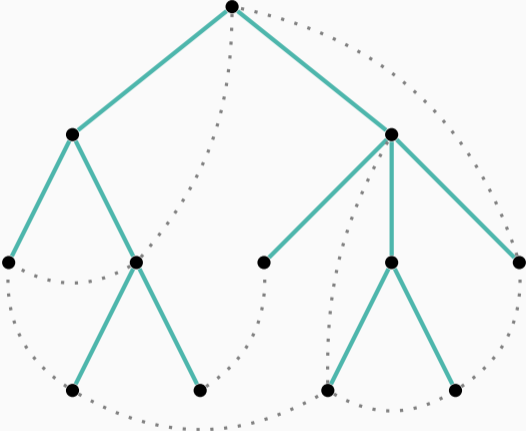
F can be a forest, a tree, a matching, a collection of paths...

Weighted version

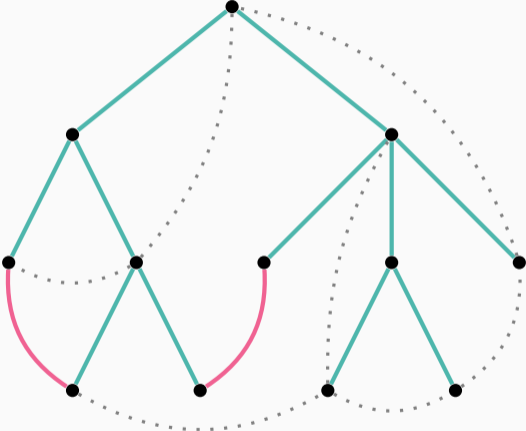
Input $G = (V, E)$, $w : E \mapsto \mathbb{R}^+$, set of light edges $F \subseteq E$

Output Set of heavy edges $E' \subseteq E$ of **min weight** s.t. $(V, F \cup E')$ is 2-edge-connected

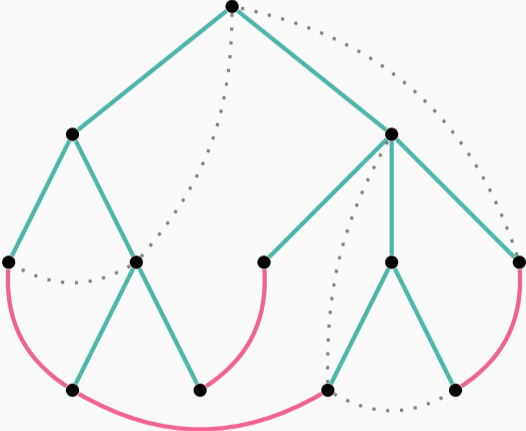
EXAMPLE OF GRAPH AUGMENTATION



EXAMPLE OF GRAPH AUGMENTATION



EXAMPLE OF GRAPH AUGMENTATION



k -edge-connectivity, cut formulation

Every nontrivial cut has at least k edges

(special case of max-flow min-cut)

CUT FORMULATION OF GRAPH AUGMENTATION

k -edge-connectivity, cut formulation

Every nontrivial cut has at least k edges

(special case of max-flow min-cut)

Linear Program

$$\min \sum_{e \in E \setminus F} x_e$$

$$\sum_{e \in \delta(S)} x_e \geq 2 \quad \forall \emptyset \subsetneq S \subsetneq V$$

$$x_e \in \{0, 1\} \quad \forall e \in E$$

x_e indicates whether e is selected

CUT FORMULATION OF GRAPH AUGMENTATION

k -edge-connectivity, cut formulation

Every nontrivial cut has at least k edges

(special case of max-flow min-cut)

Relaxed Linear Program

$$\min \sum_{e \in E \setminus F} x_e$$

$$\sum_{e \in \delta(S)} x_e \geq 2 \quad \forall \emptyset \subsetneq S \subsetneq V$$

$$0 \leq x \leq 1 \quad \forall e \in E$$

x_e indicates whether e is selected

APX

NP optimization problems that have a poly-time approximation algorithm with a constant approximation ratio

HARDNESS OF APPROXIMATION

APX

NP optimization problems that have a poly-time approximation algorithm with a constant approximation ratio

APX-hardness

every problem in APX can be reduced to this problem with an approximation-preserving poly-time reduction

APX

NP optimization problems that have a poly-time approximation algorithm with a constant approximation ratio

APX-hardness

every problem in APX can be reduced to this problem with an approximation-preserving poly-time reduction

Theorem (Kortsarz & al. 2004)

Tree Augmentation is APX-hard

$\exists \varepsilon$ s.t. approximating TAP with ratio $1 + \varepsilon$ is NP-hard

Weighted Tree Augmentation

- [Traub & al. 2021] $1 + \ln 2 + \varepsilon$
(Relative Greedy)

Weighted Tree Augmentation

- [Traub & al. 2021] $1 + \ln 2 + \varepsilon$
(Relative Greedy)
- [Traub & al. 2022] $1.5 + \varepsilon$
(Local Search)

Weighted Tree Augmentation

- [Traub & al. 2021] $1 + \ln 2 + \varepsilon$
(Relative Greedy)
- [Traub & al. 2022] $1.5 + \varepsilon$
(Local Search)

Forest Augmentation

[Grandoni & al. 2022] < 2
(Reduction to path augmentation)

APPROXIMATING MATCHING AUGMENTATION

[Bamas, Drygala, Svensson, 2022] *A Simple LP-Based Approximation Algorithm for the Matching Augmentation Problem*

[Bamas, Drygala, Svensson, 2022] *A Simple LP-Based Approximation Algorithm for the Matching Augmentation Problem*

- main result: better-than-2 approximation for MAP
- using only cut-LP and a DFS tree

$$\begin{aligned} \min \quad & \sum_{e \in E \setminus M} x_e \\ \sum_{e \in \delta(S)} x_e & \geq 2 \quad \forall \emptyset \subsetneq S \subsetneq V \\ 0 \leq x_e & \leq 1 \quad \forall e \in E \end{aligned}$$

Algorithm 1: LP-based approximation algorithm for MAP

Input: A graph $G = (V, E)$ and a matching $M \subseteq E$.

$x^* \leftarrow$ optimal extreme point solution to $LP(G, M)$

$G' \leftarrow (V, \text{Support}(x^*))$

$T \leftarrow \text{LIGHTDFS}(G', M)$ // depth-first-search tree prioritizing light edges

$y^* \leftarrow$ optimal extreme point solution to $LP(G', T)$

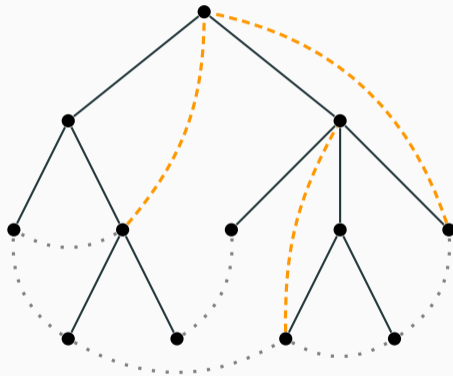
$A \leftarrow \text{Support}(y^*)$

return $T \cup A$

WHY ARE WE INTERESTED IN A DFS TREE?

DFS TREE AND UP-LINKS

- after building a DFS tree, the remaining edges are **up-links**
- up-link: one endpoint is an ancestor of the other



Having **only up-links** makes the problem **tractable**

Having **only up-links** makes the problem **tractable**

Total unimodularity

For every square submatrix M of A , $\det M \in \{-1, 0, 1\}$

Having **only up-links** makes the problem **tractable**

Total unimodularity

For every square submatrix M of A , $\det M \in \{-1, 0, 1\}$

Theorem

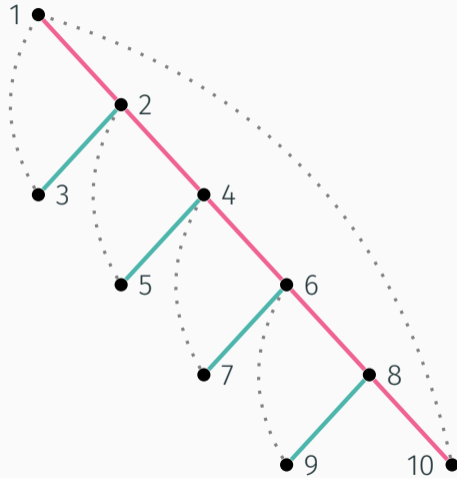
If $A \in \mathbb{R}^{m \times n}$ is **totally unimodular** and $b \in \mathbb{Z}^m$, then the extreme point solutions of

$$\begin{cases} Ax \geq b \\ x \in [0, 1]^n \end{cases}$$

are **integral**

WHY SHOULD WE RESTRICT THE DFS TREE TO THE SUPPORT?

IF WE DID NOT RESTRICT THE DFS TREE TO THE SUPPORT



WHERE DOES THE BETTER-THAN-2 RATIO COME FROM?

HALF-INTEGRAL EDGES

Suppose $\forall e, x_e^* \in \{0, \frac{1}{2}, 1\}$

HALF-INTEGRAL EDGES

Suppose $\forall e, x_e^* \in \{0, \frac{1}{2}, 1\}$

- cost of the DFS tree: $\text{cost}(T) = n - 1 - |M|$

HALF-INTEGRAL EDGES

Suppose $\forall e, x_e^* \in \{0, \frac{1}{2}, 1\}$

- cost of the DFS tree: $\text{cost}(T) = n - 1 - |M|$
- “overcost” of T :

$$\begin{aligned}\text{cost}(T) - x^*(T \setminus M) &= \sum_{e \in T \setminus M} \underbrace{1 - x_e^*}_{\leq \frac{1}{2}} \\ &\leq \frac{n - 1 - |M|}{2} \\ &\leq \frac{x^*(E \setminus M)}{2}\end{aligned}$$

HALF-INTEGRAL EDGES

Suppose $\forall e, x_e^* \in \{0, \frac{1}{2}, 1\}$

- cost of the DFS tree: $\text{cost}(T) = n - 1 - |M|$
- “overcost” of T :

$$\text{cost}(T) - x^*(T \setminus M) \leq \frac{x^*(E \setminus M)}{2}$$

- since x^* is feasible for $LP(G', T)$,

$$y^*(E \setminus T) \leq x^*(E \setminus T)$$

HALF-INTEGRAL EDGES

Suppose $\forall e, x_e^* \in \{0, \frac{1}{2}, 1\}$

- cost of the DFS tree: $\text{cost}(T) = n - 1 - |M|$
- “overcost” of T :

$$\text{cost}(T) - x^*(T \setminus M) \leq \frac{x^*(E \setminus M)}{2}$$

- since x^* is feasible for $LP(G', T)$,

$$y^*(E \setminus T) \leq x^*(E \setminus T)$$

- total cost:

$$\text{cost}(T) + y^*(E \setminus T) \leq x^*(T \setminus M) + \frac{x^*(E \setminus M)}{2} + x^*(E \setminus T) = \frac{3}{2}x^*(E \setminus M)$$

BOUNDING THE NUMBER OF FRACTIONAL EDGES

Each fractional edge is associated to a tight constraint

$$\mathcal{S} = \{S \subsetneq V; x^*(\delta(S)) = 2\}$$

BOUNDING THE NUMBER OF FRACTIONAL EDGES

Each fractional edge is associated to a tight constraint

$$\mathcal{S} = \{S \subsetneq V; x^*(\delta(S)) = 2\}$$

Theorem

\mathcal{S} can be reduced to a *laminar family*

BOUNDING THE NUMBER OF FRACTIONAL EDGES

Each fractional edge is associated to a tight constraint

$$\mathcal{S} = \{S \subsetneq V; x^*(\delta(S)) = 2\}$$

Theorem

\mathcal{S} can be reduced to a *laminar family*

Laminar family

$\mathcal{F} \subseteq 2^V$ is laminar: for every sets $A, B \in \mathcal{F}$, either $A \subseteq B$, $B \subseteq A$ or $A \cap B = \emptyset$

BOUNDING THE NUMBER OF FRACTIONAL EDGES

Each fractional edge is associated to a tight constraint

$$\mathcal{S} = \{S \subsetneq V; x^*(\delta(S)) = 2\}$$

Theorem

\mathcal{S} can be reduced to a *laminar family*

Laminar family

$\mathcal{F} \subseteq 2^V$ is laminar: for every sets $A, B \in \mathcal{F}$, either $A \subseteq B$, $B \subseteq A$ or $A \cap B = \emptyset$

Cardinality of a laminar family

If $|V| = n$ and \mathcal{F} is laminar, then $|\mathcal{F}| \leq 2n - 1$

$$1_{\delta(A \cap B)} + 1_{\delta(A \cup B)} \leq 1_{\delta(A)} + 1_{\delta(B)}$$



Uncrossing property

If $S, T \in \mathcal{S}$ are not disjoint, then $S \cap T \in \mathcal{S}$ and $S \cup T \in \mathcal{S}$

REDUCTION TO A LAMINAR FAMILY

$$1_{\delta(A \cap B)} + 1_{\delta(A \cup B)} \leq 1_{\delta(A)} + 1_{\delta(B)}$$



Uncrossing property

If $S, T \in \mathcal{S}$ are not disjoint, then $S \cap T \in \mathcal{S}$ and $S \cup T \in \mathcal{S}$

$$\text{span}(\mathcal{F}) = \text{span} \{1_{\delta(S)}; S \in \mathcal{F}\}$$

Theorem

Let \mathcal{F} be the maximal laminar subfamily of \mathcal{S} , then $\text{span}(\mathcal{F}) = \text{span}(\mathcal{S})$

CONCLUSION AND NEXT DIRECTIONS

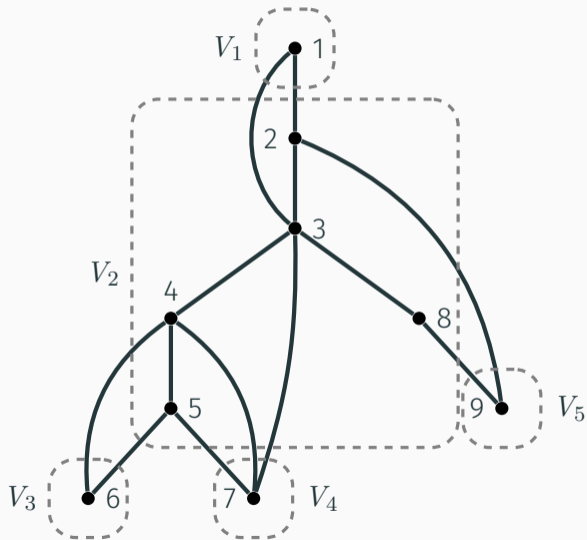
- forest augmentation is still a very active problem
- despite its hardness, the approximations keep improving
- analyzing it involves many elegant constructions

Next directions:

- refine the approximation ratio
- use other techniques such as tree carving
- extend to path augmentation

APPENDIX

TREE CARVING



DFS TREE WITH PATHS

