

A Mixed Integer Linear Programming Approach for Genome Haplotyping

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1 Introduction

Reading DNA has been made possible thanks to sequencers. A sequencer is a machine able to read short fragments of genomes, called the “reads”. A read is a sequence composed of ≈ 100 to 200 characters. The original localisation of each read in the sequenced genome is unknown, and the task of reconstructing the genome from reads is called the “assembly”.

An haplotype can be considered as one version of the genome. Diploid species, as humans contain two close versions of their genome, each called an haplotype. Polyploid species, as many plant species, contain $n > 2$ versions of their genomes (with n known). More generally, in metagenomics data (in which many species are sequenced together), n distinct genomes have to be reconstructed, with n unknown.

From sequencing data, haplotype-aware genome assembly consists in reconstructing all individual haplotypes contained in the sequenced sample. Thus, for diploid genomes, the goal is to reconstruct the sequence of each of the two haplotypes, and for polyploid and metagenomic data, the goal is to reconstruct the n sequences originally being sequenced.

In this context, we propose a new method whose objective is to reconstruct a consequent fraction of each haplotype, with a nearly perfect precision. To do this we rely on the *DiscoSnp* [4] algorithm. *DiscoSnp* is a *de novo* variant detection tool. From one or several read set(s) it detects small variants called “SNPs” (Single Nucleotide Polymorphism). When two variants or more are detected at least once belonging to the same input read or pair of reads, one knows that those variants belong to the same molecule and thus to the same haplotype. Such variants are “phased”. However these fragments of haplotypes are of limited span, due to the short size of input reads.

In this work, our objective is thus the following : from a set of phased variants (fragments of haplotypes) and their abundances, we derive the total number of haplotypes we aim to reconstruct and we reconstruct highly reliable portions of their sequences. This is different from general assemblers which tend to collapse haplotypes. This is a mandatory step for downstream analyses such as *de novo* population genomics for instance. We propose a new MILP approach for doing this task.

2 Our approach

We show that the above problem can be formulated in the framework of a directed graph $G = (V, E, w)$ where the vertices V correspond to the fragments of haplotypes while edges E are associated with the overlaps between these fragments. The weight $w_v \geq 0$ for each vertex $v \in V$ corresponds to the abundance of the related fragment and is considered in our model as a capacity of the vertex. In addition, it is given a set of Paired Vertices (*PV*) indicating that the

vertices u and v for any couple $(u, v) \in PV$ belong to the same haplotype. Haplotypes can be considered as commodities in our model. Their number is unknown in the beginning and we find it by solving a max-flow problem. This first optimization problem is bi-objective : to maximize the flow value while minimizing the number of commodities. Afterwards, we solve a second problem based on multi-commodity flow [3] where the flow value and the commodities number are fixed. The haploids' sequences are here found as paths associated to the commodities.

As we aim to reconstruct highly reliable portions of sequences and not the global sequences, loops could be ignored. Therefore, we search for elementary paths and Miller-Tucker-Zemlin (*MTZ*) technique [2, 3] is used to avoid cycles.

In contrast to [1], we seek to extract haplotypes from the maximum flow in a global way and not by greedy extraction. Our global approach avoids the need for heuristics, and optimizes the problem globally rather than locally. In addition, we use complementary information provided by sequencers : paired variants, *i.e.* variants present on the same haplotype, and contradictory variants, *i.e.* variants that cannot belong to the same haplotype. Note that our data contains noise induced by sequencers, this noise is also found in paired variants data. For this reason we maximize the number of satisfied couples instead of satisfying all of them.

Currently we are in a stage of data generation and simultaneously we are testing our approach on small instances. We plan to obtain results on real realistic instances in the near future.

Références

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