

INTERVALS IN A FAMILY OF FIBONACCI LATTICES

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ABSTRACT. We focus on a family of subsets $(\mathcal{F}_n^p)_{p \geq 2}$ of Dyck paths of semilength n that avoid the patterns DUU and D^{p+1} , which are enumerated by the generalized Fibonacci numbers. We endow them with the partial order relation induced by the well-known Stanley lattice, and we prove that all these posets are sublattices of the Stanley lattice. We provide generating functions for the numbers of linear and boolean intervals and we deduce the Möbius function for every $p \geq 2$. We count meet-irreducible elements in \mathbb{F}_n^p which establishes a surprising link with the edges of the (n, p) -Turán graph. We also prove that intervals are in one-to-one correspondence with bicolored Motzkin paths avoiding some patterns, which allows to enumerate intervals for $p = 2$. Using a discrete continuity argument ($p \rightarrow \infty$), we present a similar enumerative study in a poset of some Dyck paths of semilength n counted by 2^{n-1} . Finally, we give bijections that transport the lattice structure on other combinatorial objects, proving that those lattices can be seen as the well-known dominance order on some compositions.

1. INTRODUCTION AND NOTATION

The Fibonacci sequence, defined by the recurrence relation $F_n = F_{n-1} + F_{n-2}$ for $n \geq 2$, with initial conditions $F_0 = F_1 = 1$, is one of the most fascinating integer sequences in mathematics. It is probably due to its elegant properties and its appearance in various natural phenomena in different domains as biology, computer science, finance, architecture (see for instance [24, 25]). This classical sequence lays the groundwork for a broader class of sequences: the p -generalized Fibonacci sequences defined for every $p \geq 2$ by

$$F_n^p = F_{n-1}^p + F_{n-2}^p + \cdots + F_{n-p}^p$$

with initial conditions $F_i^p = 0$ for $i < 0$, and $F_0^p = 1$ (see [28]). These sequences are frequently found in the literature. In number theory, numerous studies introduce new formulas and properties for these numbers, while combinatorial research uncovers new classes of combinatorial objects counted by these numbers and, at times, develops efficient algorithms for their complete generation. For instance, binary words of length n avoiding the pattern 1^p (for a given $p \geq 2$) are counted by the p -generalized Fibonacci number F_{n+1}^p , and their exhaustive generation can be obtained in Gray code order with a constant amortized time algorithm [10]. However, there is a bit less work studying a partial order on a combinatorial class counted by F_n . For instance, Stanley proved in [32] that the Young-Fibonacci poset $\mathbf{Z}(r)$ (also called Fibonacci r -differential poset) and the r -Fibonacci poset $\mathbf{Fib}(r)$ are two lattices [26, 27, 31, 32, 34]. To our knowledge, there is no work that study partial order on a family of combinatorial classes $\mathcal{C}(p)$, $p \geq 0$, where the elements of size n in $\mathcal{C}(p)$ are counted by the generalized Fibonacci numbers F_n^p . This is one of the key aims of this study.

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A *Dyck path* of semilength n , $n \geq 0$, is a lattice path in the first quarter plane starting at the origin $(0,0)$, ending at $(2n,0)$, and never going below the x -axis, consisting of up steps $U = (1,1)$ and down steps $D = (1,-1)$. We write ϵ the empty path, that is the only path with semilength 0. Let \mathcal{D} be the set of all Dyck paths, and \mathcal{D}_n be the set of those of semilength n . For instance, we have

$$\mathcal{D}_3 = \{UUUDDD, UUUDDUD, UUDUDD, UDUDUD, UDUUDD\}.$$

A *pattern* in a Dyck path P consists of consecutive steps of P . For instance a *peak* UD is a pattern that always appears in a nonempty Dyck path, while a *valley* DU does not occur in $U^n D^n$, $n \geq 0$. More generally, we will say that a Dyck path P avoids a pattern α when P does not contain the factor α . Let P be a Dyck path, we define $\mathbf{type}(P)$ as the length of the last descent run of P . For instance, we have $\mathbf{type}(UDUUDD) = 2$ since the last descent run is DD .

There exist several partial ordering relations on Dyck paths which endows them with lattice structures [2, 3, 4, 5, 6, 17, 29, 33, 35]. Of much interest are probably the so-called Tamari lattice [23, 35] obtained with the rotations on Dyck paths [7, 8, 17], and the Stanley lattice [33] obtained with the covering $DU \rightarrow UD$ and where $P \leq Q$ if and only if P is always below Q when we draw them in the quarter plane.

Throughout this paper, we focus on the family of sets \mathcal{F}^p , $p \geq 2$, where the elements are Dyck paths in \mathcal{D} avoiding the patterns DUU and D^{p+1} . The set of elements in \mathcal{F}^p having semilength n will be denoted \mathcal{F}_n^p . We will also consider the set \mathcal{F}^∞ (resp. \mathcal{F}_n^∞) of Dyck paths (resp. of semilength n) avoiding the pattern DUU . Note the following inclusions for all $n \geq 0$, which will allow us to use discrete continuity arguments:

$$\mathcal{F}_n^2 \subseteq \mathcal{F}_n^3 \subseteq \dots \subseteq \mathcal{F}_n^p \subseteq \mathcal{F}_n^{p+1} \subseteq \dots \subseteq \mathcal{F}_n^\infty.$$

Let P be a path in \mathcal{F}_n^p . It can be written (uniquely)

$$(1.1) \quad P = U^{i-1}QU D^i \text{ for some } i \in \{1, \dots, p\} \text{ and } Q \in \mathcal{F}_{n-i}^p.$$

Note that P satisfies $\mathbf{type}(P) = i$. This decomposition implies that

$$|\mathcal{F}_n^p| = |\mathcal{F}_{n-1}^p| + |\mathcal{F}_{n-2}^p| + \dots + |\mathcal{F}_{n-p}^p|,$$

which implies that \mathcal{F}_n^p is enumerated by the generalized Fibonacci number F_n^p . Similarly, every path P in \mathcal{F}_n^∞ can be decomposed either $P = QUD$ or $P = UQD$ for some $Q \in \mathcal{F}_{n-1}^\infty$, which implies $|\mathcal{F}_n^\infty| = 2^{n-1}$.

We equip \mathcal{F}_n^p and \mathcal{F}_n^∞ with the Stanley order \leq , and we denote by $\mathbb{F}_n^p = (\mathcal{F}_n^p, \leq)$ and $\mathbb{F}_n^\infty = (\mathcal{F}_n^\infty, \leq)$ the associated posets. See Figure 1 for an illustration of the Hasse diagrams of \mathbb{F}_5^2 and \mathbb{F}_4^∞ . We will write $P \prec Q$ when Q *covers* P , *i.e.*, whenever Q is obtained from P by a transformation $DU \rightarrow UD$. We also say that P is a *lower cover* of Q , or Q is an *upper cover* of P . Then \mathbb{F}_n^p and \mathbb{F}_n^∞ are distributive lattices, as sublattices of the Stanley lattice. Indeed, let $P, Q \in \mathcal{F}_n^p$ (resp. \mathcal{F}_n^∞), and let $P \wedge Q$ and $P \vee Q$ be respectively their meet (greatest lower bound) and their join (least upper bound) in the Stanley lattice. Then $P \wedge Q$ (resp. $P \vee Q$) is obtained by considering the lower (resp. upper) envelope of the two paths P and Q , so they both clearly belong to \mathcal{F}_n^p (resp. \mathcal{F}_n^∞). Clearly, these lattices are ranked with the area below the path and above the x -axis.

We end this section by giving the classical concepts of partial order theory [22] that we use in this study. A *meet-irreducible* (resp. *join-irreducible*) element is an element having exactly one upper (resp. lower) cover. An *interval* $[P, Q]$ in a poset \mathbb{P} is the set $\{R \in \mathbb{P}, P \leq R \leq Q\}$.

The *height* of $[P, Q]$ is the length of a maximal chain between P and Q . An interval is said to be *linear* when all its elements are pairwise comparable. An interval is *boolean* when it is isomorphic to a boolean lattice. See [5, 6, 9, 13, 14, 16, 18, 19, 20, 27] for several studies on the enumeration of intervals into posets of Dyck paths.

Outline of the paper. In Section 2 we enumerate the elements of \mathbb{F}_n^p ($p \geq 2$) according to their number of upper-covers. We deduce from that the number of boolean intervals in \mathbb{F}_n^p . We then use a discrete continuity argument to transfer those results to \mathbb{F}_n^∞ . We also count meet-irreducible elements in \mathbb{F}_n^p which establishes a surprising link with the edges of the (n, p) -Turán graph. In Section 3 we enumerate linear intervals, first in \mathbb{F}_n^p for $p \geq 2$, and then in \mathbb{F}_n^∞ , again using discrete continuity. In Section 4, we prove that intervals are in one-to-one correspondence with bicolored Motzkin paths avoiding some patterns, which allows us to enumerate intervals for $p \in \{2, \infty\}$. Finally, we present in Section 5 bijections between the Dyck paths of \mathcal{F}_n^p and other classical combinatorial objects. This gives new interpretations of the lattices \mathbb{F}_n^p , in particular it can be seen as the well-known dominance order on some compositions.

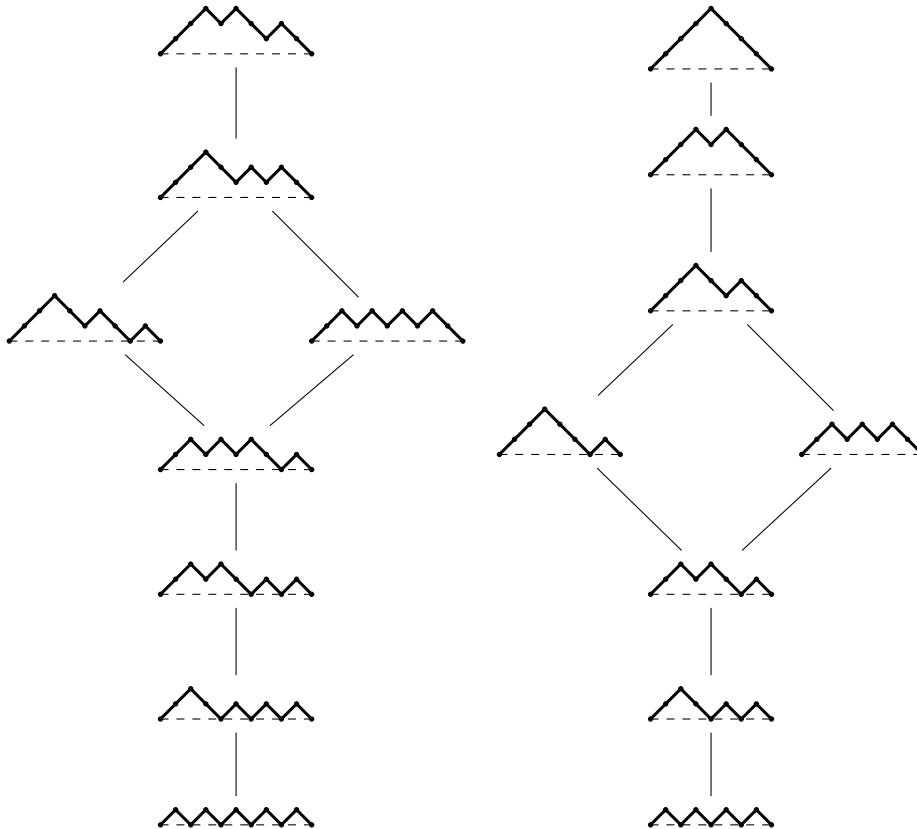


FIGURE 1. The Hasse diagrams of \mathbb{F}_5^2 (on the left) and \mathbb{F}_4^∞ (on the right).

2. COVERINGS, IRREDUCIBLE ELEMENTS, BOOLEAN INTERVALS.

In this section, we provide enumerative results for several characteristic elements (coverings, join- and meet-irreducible elements, boolean intervals) in the lattices \mathbb{F}_n^p , $p \geq 2$, and \mathbb{F}_n^∞ .

Case 2: P is of type p . Then P and Q have the same number of coverings, and the contribution of such paths is $x^p(f_k^1(x) + f_k^2(x) + \dots + f_k^p(x))$.

Considering all these cases, we obtain the following system of equations for $k \geq 1$:

$$(2.1) \quad \begin{cases} f_k^i(x) &= x^i(f_k^1(x) + f_{k-1}^2(x) + \dots + f_{k-1}^p(x)) \text{ for } 1 \leq i \leq p-1, \\ f_k^p(x) &= x^p(f_k^1(x) + f_k^2(x) + \dots + f_k^p(x)), \end{cases}$$

with the initial conditions $f_0^1(x) = 1$, $f_0^p = \frac{x}{1-x}$, and $f_0^i(x) = 0$ for $2 \leq i \leq p-1$. Indeed for every $n \geq 0$, the only path covered by 0 elements is the maximal one, and it has type 1 only if $n = 0$, otherwise it has type p (remember our convention for $n = 0$ and $n = 1$).

Now let $\varphi_k(x) = f_k^1(x)$ and $\theta_k(x) = f_k^2(x) + \dots + f_k^p(x)$. From Equation (2.1), we get for $k \geq 1$

$$\begin{cases} (1-x)\varphi_k(x) &= x\theta_{k-1}(x) \\ (1-x^p)\theta_k(x) &= (x^2 + \dots + x^p)\varphi_k(x) + (x^2 + \dots + x^{p-1})\theta_{k-1}(x). \end{cases}$$

Observe that $\theta_0(x) = \frac{x}{1-x}$. Then we can solve for φ_k and θ_k when $k \geq 1$:

$$\begin{aligned} \varphi_k(x) &= \left(\frac{x^2 - x^p + x^{p+1} - x^{p+2}}{(1-x)^2(1-x^p)} \right)^{k-1} \cdot \frac{x^2}{(1-x)^2}, & \text{and} \\ \theta_k(x) &= \left(\frac{x^2 - x^p + x^{p+1} - x^{p+2}}{(1-x)^2(1-x^p)} \right)^k \cdot \frac{x}{1-x}. \end{aligned}$$

We deduce that for all $k \geq 1$,

$$f_k(x) = \varphi_k(x) + \theta_k(x) = \left(\frac{x^2 - x^p + x^{p+1} - x^{p+2}}{(1-x)^2(1-x^p)} \right)^{k-1} \cdot \frac{x^2 - x^{p+1}}{(1-x)^3(1-x^p)}.$$

Finally, with $f_0(x) = \frac{1}{1-x}$, we obtain

$$F_p(x, y) = \frac{1}{1-x} + \sum_{k=1}^{+\infty} f_k(x)y^k,$$

which yields the desired result after simplification. \square

The first terms of the series expansions of $F_p(x, y)$ for $p = 2$ and $p = 3$ are respectively

$$1 + x + (1+y)x^2 + (1+2y)x^3 + (1+4y)x^4 + (1+6y+y^2)x^5 + (1+9y+3y^2)x^6 + O(x^7)$$

and

$$1 + x + (1+y)x^2 + (1+3y)x^3 + (1+5y+y^2)x^4 + (1+8y+4y^2)x^5 + (1+12y+10y^2+y^3)x^6 + O(x^7).$$

Corollary 2.2. *The generating function for the number of coverings in \mathbb{F}_n^p , $n \geq 0$, is*

$$\partial_y F_p(x, y)|_{y=1} = \frac{(1-x)(x^2 - x^{p+1})(1-x^p)}{(1-2x+x^{p+1})^2}.$$

The first terms of the series expansion of $\partial_y F_2(x, y)|_{y=1}$ are

$$x^2 + 2x^3 + 4x^4 + 8x^5 + 15x^6 + 28x^7 + 51x^8 + 92x^9 + O(x^{10}),$$

and the sequence of coefficients corresponds to [A029907](#) in [30] where the n -th term a_n satisfies $a_{n+1} = a_n + a_{n-1} + F_n$, where $a_0 = a_1 = 0$ and F_n is the n -th Fibonacci number (see Section 1). The sequences for $p \geq 3$ do not seem to appear in [30].

Moreover, the generating function $f_1(x) = \frac{x^2 - x^{p+1}}{(1-x)^3(1-x^p)}$ (which is the coefficient of y in the series expansion of $F_p(x, y)$) counts the number of meet-irreducible elements in \mathbb{F}_n^p (elements having exactly one upper cover). For $p = 2$ the first terms of the series expansion are

$$x^2 + 2x^3 + 4x^4 + 6x^5 + 9x^6 + 12x^7 + 16x^8 + 20x^9 + 25x^{10} + O(x^{11}).$$

The sequence of coefficients corresponds to [A002620](#) in [30], where the n -th term is $b_2(n) = \lfloor \frac{n^2}{4} \rfloor$. More generally ($p \geq 2$), the following theorem provides a surprising link between the n -th coefficient $b_p(n)$ and a well-known parameter in extremal graph theory.

Theorem 2.3. *For any $p \geq 2$, the number of meet-irreducible elements in \mathbb{F}_n^p , that is the n -th coefficient of $f_1(x)$, is given by*

$$b_p(n) = \left\lfloor \frac{n^2(p-1)}{2p} \right\rfloor,$$

which also counts the number of edges in the (n, p) -Turán graph (see [A198787](#) in [30], and [1, 12, 36]).

Proof. We present an analytic proof, showing that the generating function of $\left\lfloor \frac{n^2(p-1)}{2p} \right\rfloor$ is $f_1(x)$. Considering the equality

$$\left\lfloor \frac{n^2(p-1)}{2p} \right\rfloor = \left(1 - \frac{1}{p}\right) \frac{n^2}{2} - \frac{(n \bmod p)(p - (n \bmod p))}{2p},$$

and observing that the generating functions of $(n \bmod p)$ and $(n \bmod p)^2$ satisfy

$$\sum_{n=0}^{+\infty} (n \bmod p) x^n = \left(\sum_{k=0}^{p-1} k x^k \right) \left(\sum_{k=0}^{+\infty} x^{pn} \right) = \frac{(p-1)x^{p+1} - px^p + x}{(1-x)^2(1-x^p)},$$

and

$$\begin{aligned} \sum_{n=0}^{+\infty} (n \bmod p)^2 x^n &= \left(\sum_{k=0}^{p-1} k^2 x^k \right) \left(\sum_{k=0}^{+\infty} x^{pn} \right) \\ &= \frac{(2p^2 - 2p - 1)x^{p+1} - (p-1)^2 x^{p+2} - p^2 x^p + x^2 + x}{(1-x)^3(1-x^p)}, \end{aligned}$$

we can check that $\sum_{n=0}^{+\infty} \left\lfloor \frac{n^2(p-1)}{2p} \right\rfloor x^n = \frac{x^2 - x^{p+1}}{(1-x)^3(1-x^p)} = f_1(x)$. \square

From Theorem 2.1, we can easily deduce the following.

Corollary 2.4. *The generating function $B_p(x, y)$ for the number of boolean intervals in \mathbb{F}_n^p , with respect to the semilength $n \geq 0$, and the interval height is given by*

$$B_p(x, y) = \frac{(1-x)(1+yx^p)}{1-2x+x^{p+1}-y(x^2-x^p+x^{p+1}-x^{p+2})}.$$

Proof. As a direct consequence of the distributivity of \mathbb{F}_n^p , we have that $B_p(x, y) = F_p(x, 1+y)$, see for instance [33, Exercise 3.19]. \square

The first terms of the series expansion of $B_2(x, y)$ are

$$1 + x + (2 + y)x^2 + (3 + 2y)x^3 + (5 + 4y)x^4 + (8 + 8y + y^2)x^5 + (13 + 15y + 3y^2)x^6 + O(x^7).$$

For $p = 2$, we find $B_2(x, 1) = \frac{1+x^2}{1-x-x^2-x^3}$, so the boolean intervals of \mathbb{F}_n^2 are enumerated by the Tribonacci numbers ([A000213](#) in [30]). For $p = 3$, the number sequence of boolean intervals in \mathbb{F}_n^3 also appears in [30] (see [A193641](#)). It seems to be the only two values of $p \geq 2$ such that the sequence appears in [30].

Corollary 2.5. *The coefficient of $x^n y^k$ in $F_p(x, y)$ is also the number of elements in \mathbb{F}_n^p that have exactly k lower covers.*

Proof. Let $G_p(x, y)$ be the generating function whose coefficient of $x^n y^k$ is the number of elements in \mathbb{F}_n^p that have exactly k lower covers. Again by the distributivity of \mathbb{F}_n^p [33, Exercise 3.19], we have $B_p(x, y) = G_p(x, 1 + y) = F_p(x, 1 + y)$, so $G_p(x, y) = F_p(x, y)$. \square

Remark 2.6. For $(k, \ell) \in \mathbb{N}^2$, we say that an element $P \in \mathbb{F}_n^p$ has *degree* (k, ℓ) if P has k upper covers and ℓ lower covers. Given Corollary 2.5, we could ask if there are as many elements with degree (k, ℓ) as elements with degree (ℓ, k) in \mathbb{F}_n^p . Actually this is not the case for infinitely many values of (n, p) . Indeed, for $n > p$ and $(n \bmod p) \notin \{0, 1\}$, the maximal element has degree $(0, 2)$, but the minimal element has degree $(1, 0)$. However those two elements are the only ones whose degree has a 0-coordinate. We will see later with Theorem 5.4 that the distribution of the degree is always symmetric in \mathbb{F}_n^∞ .

2.2. In the lattice \mathbb{F}_n^∞ . Let $F_\infty(x, y)$ be the bivariate generating function where the coefficient of $x^n y^k$, $n, k \geq 0$, in its series expansion is the number of elements in \mathbb{F}_n^∞ that have exactly k upper covers, and let $B_\infty(x, y)$ be the bivariate generating function for the number of boolean intervals in \mathbb{F}_n^∞ with respect to n and the interval height.

It is possible to make a similar study for \mathbb{F}_n^∞ as in the proofs of Theorem 2.1, and Corollary 2.4. However, we can also use a discrete continuity argument. Indeed, for any $P \in \mathcal{F}_n^\infty$, there exists $p \geq 2$ sufficiently large such that $P \in \mathcal{F}_n^p$. In fact, for any $n \geq 0$, we have $\mathcal{F}_n^\infty = \mathcal{F}_n^n$. Thus, if $\text{val}_x(\cdot)$ denotes the valuation of a power series in the variable x , then $\text{val}_x(F_\infty(x, y) - F_p(x, y)) \geq p$ for all $p \geq 2$. Thus, $F_\infty(x, y) = \lim_{p \rightarrow \infty} F_p(x, y)$ (relatively to the metric $\text{val}_x(\cdot)$), and $B_\infty(x, y) = \lim_{p \rightarrow \infty} B_p(x, y)$. Therefore, we have the following.

Corollary 2.7. *The generating functions $F_\infty(x, y)$ and $B_\infty(x, y)$ are given by*

$$F_\infty(x, y) = \frac{1 - x}{1 - 2x + (1 - y)x^2}, \quad \text{and} \quad B_\infty(x, y) = \frac{1 - x}{1 - 2x - x^2 y}.$$

The number of coverings is

$$[x^n] \partial_y F_\infty(x, y)|_{y=1} = n \cdot 2^{n-3} \quad \text{if } n \geq 2, \quad \text{and } 0 \text{ otherwise,}$$

which corresponds to [A001792](#) in [30].

The number of boolean intervals in \mathbb{F}_n^∞ is

$$[x^n] B_\infty(x, 1) = \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{n-2k} 2^k,$$

which corresponds to [A078057](#) in [30].

The number of meet-irreducible elements (resp. join-irreducible) is $\frac{n(n-1)}{2}$.

The first terms of the series expansions of $F_\infty(x, y)$ and $B_\infty(x, y)$ are respectively $1+x+(1+y)x^2+(1+3y)x^3+(1+6y+y^2)x^4+(1+10y+5y^2)x^5+(1+15y+15y^2+y^3)x^6+O(x^7)$, and

$$1+x+(2+y)x^2+(4+3y)x^3+(8+8y+y^2)x^4+(16+20y+5y^2)x^5+(32+48y+18y^2+y^3)x^6+O(x^7).$$

Theorem 2.8. *For all $n \geq 0$, let B_n be a random variable following the uniform distribution over the boolean intervals of \mathbb{F}_n^∞ , and let X_n be the height of B_n . Then $\frac{4X_n - (2-\sqrt{2})n}{\sqrt{n} \sqrt[4]{2}}$ converges in law to a standard normal distribution.*

Proof. We use singularity analysis, see [21]. Let y belong to a neighbourhood of 1. The main singularity of $B_\infty(x, y)$ is then $\frac{\sqrt{1+y}-1}{y}$, and near this singularity, we have the approximation

$$B_\infty(x, y) \sim \frac{1}{2} \left(1 - \frac{yx}{\sqrt{1+y}-1} \right)^{-1}.$$

Since $[x^n]B_\infty(x, 1) \sim \frac{(\sqrt{2}-1)^{-n}}{2}$, we have

$$\frac{[x^n]B_\infty(x, y)}{[x^n]B_\infty(x, 1)} \sim \left(\frac{y(\sqrt{2}-1)}{\sqrt{1+y}-1} \right)^n,$$

then X_n can be approximated by a sum of independent random variables with generating function $y \mapsto \frac{y(\sqrt{2}-1)}{\sqrt{1+y}-1}$. Since the last distribution has expected value $(2-\sqrt{2})/4$ and standard deviation $\sqrt[4]{2}/4$, by the central limit theorem, we have the convergence in law of the standardized version of X_n to a standard normal distribution. \square

Definition 2.9. *The Möbius function μ on a poset \mathbb{P} is defined [11, 15] recursively by*

$$\mu(P, Q) = \begin{cases} 0 & \text{if } P \not\leq Q, \\ 1 & \text{if } P = Q, \text{ and} \\ -\sum_{P \leq R < Q} \mu(P, R) & \text{for all } P < Q. \end{cases}$$

Since \mathbb{F}_n^p is a finite distributive lattice, it is well-known (see for instance [33]) that, for any $P, Q \in \mathbb{F}_n^p$, the Möbius function $\mu(P, Q)$ is equal to 0 if the interval $[P, Q]$ is not boolean, and otherwise $\mu(P, Q) = (-1)^h$, where h is the height of $[P, Q]$. Again by the distributivity, if $[P, Q]$ is boolean, then Q is the join of the upper covers of P . This implies that there exist factors $\alpha_1, \dots, \alpha_{h+1}$ such that $P = \alpha_1 DU \alpha_2 DU \alpha_3 \dots \alpha_k DU \alpha_{h+1}$ and $Q = \alpha_1 U D \alpha_2 U D \alpha_3 \dots \alpha_k U D \alpha_{h+1}$. In terms of the rank function ρ of the lattice (namely the area between the path and the x -axis, as mentioned in Section 1), $h = \rho(Q) - \rho(P)$. See also Remark 5.3 for an alternative definition of the rank.

3. LINEAR INTERVALS

In this section, we focus on the enumeration of the linear intervals in \mathbb{F}_n^p , $p \geq 2$, and \mathbb{F}_n^∞ . We first give three lemmas that characterize the structure of linear intervals. Next, we deduce the generating function for the number of linear intervals in \mathbb{F}_n^p with respect to n and the interval height. Since degenerate cases arise only for $p = 2$, the enumerations for $p = 2$ and $p \geq 3$ are handled separately in two distinct subsections. The generating function for the case $p = \infty$ is obtained using a discrete continuity argument.

Lemma 3.1. *Let $P, Q \in \mathbb{F}_n^p$, $p \geq 2$, and $1 \leq i \leq p$, such that $i = \mathbf{type}(P) = \mathbf{type}(Q)$. Then we have $[P, Q] = [U^{i-1}P'UD^i, U^{i-1}Q'UD^i]$ and $[P, Q]$ is a linear interval if and only if $[P', Q']$ is a linear interval in \mathcal{F}_{n-i}^p .*

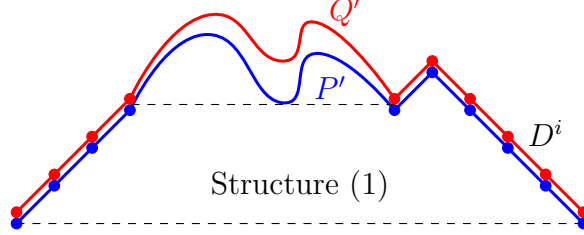


FIGURE 3. The structure of linear intervals $[P, Q]$ in \mathbb{F}_n^p when $\mathbf{type}(P) = \mathbf{type}(Q)$. See Lemma 3.1

Proof. Since P and Q have the same type i , we can decompose $P = U^{i-1}P'UD^i$ and $Q = U^{i-1}Q'UD^i$, with $P', Q' \in \mathcal{F}_{n-i}^p$. Clearly, the two intervals $[P', Q']$ and $[P, Q]$ are isomorphic as posets, which complete the proof. See Figure 3. \square

Lemma 3.2. *Let $P, Q \in \mathbb{F}_n^p$, $p \geq 3$, such that $\mathbf{type}(Q) \geq \mathbf{type}(P) + 2$. If $i := \mathbf{type}(P)$ and $j := \mathbf{type}(Q)$, then $[P, Q]$ is a linear interval if and only if*

- (a) $[P, Q] = [U^{n-3}(UD)^3D^{n-3}, U^nD^n]$, $3 \leq n \leq p$, or
- (b) $[P, Q] = [U^{j-1}RD^{j-i}UD^i, U^{j-1}RUD^j]$, with R empty or $R \in \mathcal{F}_{n-j}^p$ and $\mathbf{type}(R) \in [1, p - (j - i)]$.

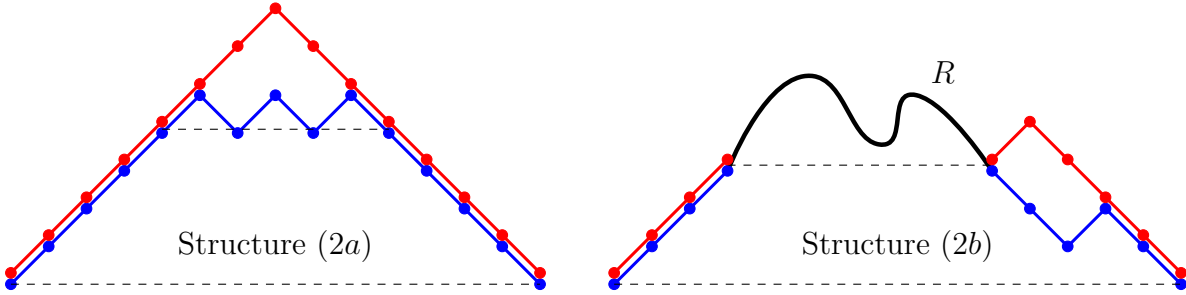


FIGURE 4. The structure of linear intervals $[P, Q]$ in \mathbb{F}_n^p when $\mathbf{type}(Q) \geq \mathbf{type}(P) + 2$. See Lemma 3.2

Proof. It is direct to check that intervals of the form (a) or (b) are linear (see Figure 4), so we focus on the converse. Let $[P, Q]$ be a linear interval such that $i := \mathbf{type}(P)$ and $j := \mathbf{type}(Q)$ with $j \geq i + 2$. We can write $P = U^{i-1}P'D^{i-1}$, where $P' \in \mathcal{F}_{n-i+1}^p$ is nonempty and $\mathbf{type}(P') = 1$. Similarly, $Q = U^{i-1}Q'D^{i-1}$, where $Q' \in \mathcal{F}_{n-i+1}^p$ is nonempty and $\mathbf{type}(Q') = j - i + 1$. Note that the intervals $[P, Q]$ and $[P', Q']$ are isomorphic as posets. So, let us distinguish four cases depending on the form of P' .

- (i) Suppose that $P' = (UD)^{n-i+1}$. Since $j-i \geq 2$, we have necessarily $n-i+1 \geq 3$. By a simple observation, if $n-i+1 = 3$, then $[P, Q]$ has the form (a). If $n-i+1 \geq 4$, then $[P', Q']$ cannot be a linear interval. Indeed, $U(UD)^{n-i}D$ and $U^3D^2UD^2(UD)^{n-i-3}$ both belong to $[P', Q']$ (they are obviously greater than P' , and they are lower than Q' because $j-i \geq 2$), and these two paths are not comparable, which condemns the linearity of $[P', Q']$, and so the one of $[P, Q]$.
- (ii) Suppose that there exist $k \geq 3$, $k' \geq 2$ and a prefix S such that $P' = SD^k(UD)^{k'}$, then $[P', Q']$ cannot be a linear interval. Indeed, the paths $SD^{k-2}UD^3(UD)^{k'-1}$ and $SD^{k-1}(UD)^2D(UD)^{k'-2}$ both belong to $[P', Q']$ and they are not comparable.
- (iii) Suppose that there exist $k' \geq 2$ and a prefix S such that $P' = SDUD^2(UD)^{k'}$, then $[P', Q']$ cannot be a linear interval. Indeed, $SUD^3(UD)^{k'}$ and $SD(UD)^2D(UD)^{k'-1}$ both belong to $[P', Q']$ but they are not comparable.
- (iv) Suppose that $P' = U^2D^2(UD)^{n-i-1}$, then $[P', Q']$ cannot be a linear interval. Indeed, $U^3D^3(UD)^{n-i-2}$ and $U(UD)^3D(UD)^{n-i-2}$ both belong to $[P', Q']$ but they are not comparable.

We have proved that either $[P, Q]$ has the form (a), or P' has the form $P' = SUD^kUD$ with $2 \leq k \leq j-i$ (i.e. $k' = 1$ with this above notation).

If $k < j-i$, $[P', Q']$ cannot be a linear interval. Indeed, in this case we can write $P' = TDUD^kUD$, and the paths $TDUD^{k+1}UD$ and $TDUD^{k-1}UD^2$ both belong to $[P', Q']$, and they are not comparable.

If $k = j-i$, then P can be decomposed $P = U^{j-1}P'D^{j-i}UD^i$, with $P' \in \mathcal{F}_{n-j}^p$. Since Q has type j we also have $Q = U^{j-1}Q'D^{j-i}UD^i$, with $Q' \in \mathcal{F}_{n-j}^p$. Now if $P' \neq Q'$, $[P', Q']$ is a non trivial interval in \mathcal{F}_{n-j}^p , so there exists $R' \in [P', Q']$ covering P' . Then $U^{j-1}R'D^{j-i}UD^i$ and $U^{j-1}P'D^{j-i-1}UD^{i+1}$ both belong to $[P, Q]$, but they are not comparable, contradicting once again the linearity. We conclude that $P' = Q'$, so $[P, Q]$ has the form (b). \square

Lemma 3.3. *Let $P, Q \in \mathbb{F}_n^p$, $p \geq 2$, such that $\mathbf{type}(Q) = \mathbf{type}(P) + 1$. If $i := \mathbf{type}(P)$ and $j := \mathbf{type}(Q)$, then $[P, Q]$ is a linear interval if and only if*

- (a) $[P, Q] = [U^iR(DU)^kD^i, U^iR(UD)^kD^i]$ with $k \geq 1$, R empty, or $R \in \mathcal{F}_{n-k-i}^p$ and $\mathbf{type}(R) \in [1, p-1]$, or
- (b) $[P, Q] = [U^{k(p-1)+i}RD(UD^p)^kUD^i, U^{k(p-1)+i}R(UD^p)^kUD^{i+1}]$, with $k \geq 1$, R empty or $R \in \mathcal{F}_{n-kp-i-1}^p$, and $\mathbf{type}(R) \in [1, p-1]$, or
- (c) $[P, Q] = [U^2D^2(UD)^2, U^3D^2UD^2]$, or $[P, Q] = [(UD)^4, U^3D^2UD^2]$, with $p = 2$.

Proof. We can easily check that the three statements hold for $n \leq 4$ (see Figure 5). Thus, we suppose $n \geq 5$ which rules out the case (c). An interval of the form (a) or (b) is clearly linear, thus we focus on the converse.

Let $[P, Q]$ be a linear interval. Since $j-i = 1$, P ends with DUD^i , and Q ends with UD^{i+1} .

- (i) Assume that P ends with $UDUD^i$. If $[P, Q] = [U^iDUD^i, U^{i+1}D^{i+1}]$, then $[P, Q]$ has the form (a) with $k = 1$ and R empty. Otherwise, let k (resp. ℓ) be the greatest integer such that $P = P'(DU)^kD^i$ (resp. $\ell \leq k$ and $Q = Q'(UD)^\ell D^i$). For a contradiction, let us assume $\ell < k$. If $P' = U^i$ (this implies $k - \ell \geq 2$), the paths $U^i(UD)^{k-\ell+1}(DU)^{\ell-1}D^i$ and $U^{i+2}D^2(UD)^{k-\ell-2}(DU)^\ell D^i$ both belong to $[P, Q]$, and they are not comparable, which contradicts the linearity of $[P, Q]$. If

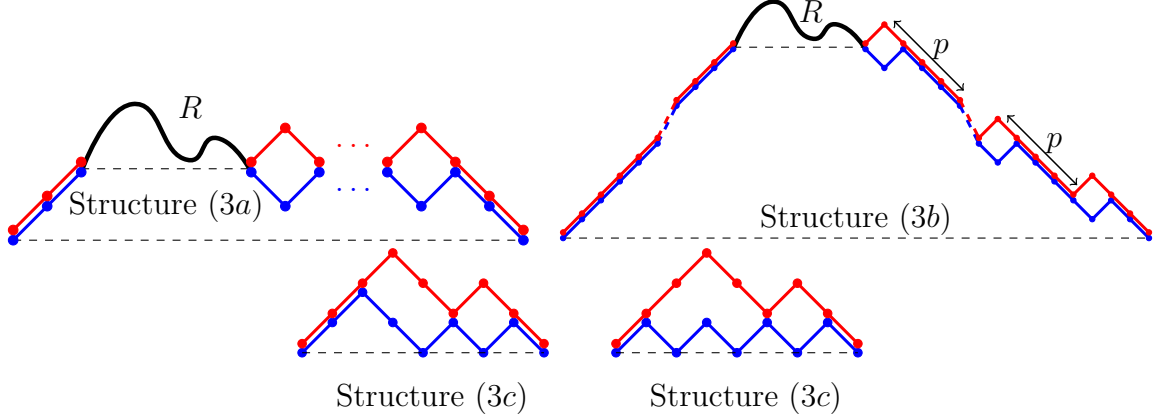


FIGURE 5. The structure of linear intervals $[P, Q]$ in \mathbb{F}_n^p when $\text{type}(Q) = \text{type}(P) + 1$. See Lemma 3.3

$P' = P''D$, then we obtain a contradiction with $P''D(UD)^{k-\ell+1}(DU)^{\ell-1}D^i$ and $P''UD^2(UD)^{k-\ell-1}(DU)^\ell D^i$. This proves that we necessarily have $k = \ell$ and thus $P = U^i P'(DU)^k D^i$ and $Q = U^i Q'(UD)^k D^i$ for some $P', Q' \in \mathcal{F}_{n-k-i}^p$ with types between 1 and $p-1$. We conclude that $P' = Q'$ as at the end of the proof of Lemma 3.2. Finally we have that $[P, Q]$ has the form (a).

- (ii) Assume that P ends with D^2UD^i . We have $P = P'DUD^i$ and $Q = Q'UD^{i+1}$. If $P' = Q'$, then $[P, Q]$ has the form (a) with $k = 1$. So we assume $P' \neq Q'$. Let m , $1 \leq m \leq p$ be the integer such that P ends with UD^mUD^i , and $m-1 \leq \ell \leq p$ such that Q ends with $UD^\ell UD^{i+1}$. For a contradiction suppose $\ell = m-1$. Then $P = P''DUD^mUD^i$ and $Q = Q''DUD^{m-1}UD^{i+1}$. Since $P' \neq Q'$, there exists some $T \leq Q''$ and such that T covers P'' . So $TDUD^mUD^i$ and $P''DUD^{m-1}UD^{i+1}$ are not comparable which contradicts the linearity of $[P, Q]$. So, let us consider $\ell \geq m$. We cannot have $m < p$, since $P''DUD^{m-1}UD^{i+1}$ and $P''UD^{m+1}UD^i$ are not comparable which would contradict the linearity of $[P, Q]$. We conclude that $m = \ell = p$. Let k be the greatest integer such that $P = P''D(UD^p)^kUD^i$ and $Q = Q''(UD^p)^kUD^{i+1}$. Using the maximality of k and a similar argument as before, we can easily conclude that $P'' = Q''$, proving that $[P, Q]$ has the form (b). □

Let $L_p(x, y)$ be the generating function where the coefficient of $x^n y^k$ in its series expansion is the number of linear intervals of height k in \mathbb{F}_n^p . We handle separately the three cases $p = 2$, $p \geq 3$, and $p = \infty$.

3.1. In the lattices \mathbb{F}_n^2 . In this part, we fix $p = 2$.

Theorem 3.4. *The generating function $L_2(x, y)$ of the number of linear intervals in \mathbb{F}_n^2 with respect to n and the interval height is given by*

$$L_2(x, y) = \frac{x^4 y^4 + y^3 x^4 + 1}{1 - x - x^2} + \frac{x^2 y (x^2 - 1) (x^3 y^2 - 1)}{(xy - 1) (x^2 + x - 1)^2 (x^2 y - 1)}.$$

Proof. Let $[P, Q]$ be a linear interval in \mathbb{F}_n^2 . According to the lemmas above, we have two cases to consider: **(i) type(P) = type(Q)** and **(ii) type(P) = 1 and type(Q) = 2**. Since $p = 2$, Lemma 3.2 is not considered.

Case (i). Using Lemma 3.1, we have $[P, Q] = [P'UD, Q'UD]$ (resp. $[P, Q] = [UP'UD^2, UQ'UD^2]$) where $[P', Q']$ is a linear interval in \mathcal{F}_{n-1}^2 (resp. \mathcal{F}_{n-2}^2). Then the contribution of these intervals is

$$xL_2(x, y) + x^2L_2(x, y).$$

Case (ii). Using Lemma 3.3, the contribution of intervals from the statement (3a) is

$$\frac{x^2y}{1-xy} \cdot (xF(x) + 1),$$

where $xF(x) + 1$ is the generating function for the empty path and paths in \mathcal{F}^2 of type 1, with $F(x) = \frac{1}{1-x-x^2}$ is the generating function for all paths in \mathcal{F}^2 . The contribution of intervals with structure (3b) is

$$\frac{x^4y^2}{1-x^2y} \cdot (xF(x) + 1).$$

The contribution of intervals with structure (3c) is $x^4y^3 + x^4y^4$. Finally, we obtain the following equation for $L_2(x, y)$:

$$L_2(x, y) = 1 + x(1+x)L_2(x, y) + x^4y^3 + x^4y^4 + \left(\frac{x^2y}{1-xy} + \frac{x^4y^2}{1-x^2y} \right) \cdot (xF(x) + 1),$$

which induces the desired expression of $L_2(x, y)$. \square

The first terms of the series expansion of $L_2(x, y)$ are

$$1 + x + (2+y)x^2 + (3+2y+y^2)x^3 + (5+4y+3y^2+2y^3+y^4)x^4 \\ + (8+8y+6y^2+3y^3+2y^4)x^5 + (13+15y+12y^2+7y^3+4y^4+y^5)x^6 + O(x^7).$$

Corollary 3.5. *The generating function of the number of linear intervals in \mathbb{F}_n^2 is given by*

$$L_2(x, 1) = \frac{1-x+x^3+3x^4-2x^5-2x^6}{(x^2+x-1)^2},$$

and the coefficient of x^n in the series expansion is $[x^0]L_2(x, 1) = [x^1]L_2(x, 1) = 1$, $[x^2]L_2(x, 1) = 3$, and

$$[x^n]L_2(x, 1) = \frac{4(n+5)F_n - (2n+27)F_{n-1}}{5} \quad \text{for } n \geq 3,$$

where F_n is the n -th Fibonacci number (see Section 1). An asymptotic approximation for the number of linear intervals in \mathbb{F}_n^2 is

$$\frac{3n}{5} \left(\frac{1+\sqrt{5}}{2} \right)^n.$$

Proof. The closed form of the n -th term is obtain by decomposing the rational fraction into partial fractions. The asymptotic is easily obtained from a classical singularity analysis, see for instance [21]. \square

Corollary 3.6. *The generating function of the limit distribution of the height of the linear intervals in \mathbb{F}_n^2 as $n \rightarrow \infty$ is given by*

$$\frac{y((7 - 3\sqrt{5})y^2 + (7 - 3\sqrt{5})y - 2)}{6((2 - \sqrt{5})y^2 + y - 1)}.$$

In particular it has expected value $\frac{3+\sqrt{5}}{2}$, and variance $\frac{7+2\sqrt{5}}{3}$.

Proof. We use singularity analysis, see [21]. The main singularity in x of $L_2(x, y)$ is $\frac{\sqrt{5}-1}{2}$, in particular it does not depend on y . The limit law of the height of the linear intervals in \mathcal{F}_n^2 as $n \rightarrow \infty$ is then discrete. Near this singularity, we have the approximation

$$L_2(x, y) \sim \frac{(-16y^3 - 16y^2 + 2y)\sqrt{5} + 36y(y^2 + y - 1/6)}{5(y\sqrt{5} - y - 2)(y\sqrt{5} - 3y + 2)} \left(x - \frac{\sqrt{5} - 1}{2} \right)^{-2}.$$

We deduce that

$$\frac{[x^n]L_2(x, y)}{[x^n]L_2(x, 1)} \xrightarrow{n \rightarrow \infty} \frac{(-16y^3 - 16y^2 + 2y)\sqrt{5} + 36y(y^2 + y - 1/6)}{5(y\sqrt{5} - y - 2)(y\sqrt{5} - 3y + 2)} \cdot \frac{5}{2} \cdot \frac{3 + \sqrt{5}}{2},$$

which yields the desired generating function after simplification. \square

3.2. In the lattices \mathbb{F}_n^p , $p \geq 3$. In this part, we fix $p \geq 3$. Recall that the generating function $F_p(x)$ for the number of elements in \mathbb{F}_n^p , $n \geq 0$, is $F_p(x) = \frac{1}{1-x-x^2-\dots-x^p}$. We also set

$$G_p(x) := 1 - x - x^2 - \dots - x^p.$$

Theorem 3.7. *The generating function $L_p(x, y)$ of the number of linear intervals in \mathbb{F}_n^p , $n \geq 0$, with respect to n and the interval height is*

$$L_p(x, y) = F_p(x) \cdot \left(1 + \frac{x^3 y^3 (1 - x^{p-2})}{1 - x} + V_p(x, y) + W_p(x, y) \right),$$

where

$$V_p(x, y) = \sum_{\substack{1 \leq i, j \leq p \\ j-i \geq 2}} x^j y^{j-i} \left(1 + \frac{x + x^2 + \dots + x^{p-(j-i)}}{G_p(x)} \right),$$

$$W_p(x, y) = \frac{y(1 - x^p)(x^2 - x^{p+1})(1 - x^{p+1}y^2)}{(1 - x)(1 - xy)(1 - x^p y)G_p(x)}.$$

Proof. Let $[P, Q]$ be a linear interval in \mathbb{F}_n^p . According to the lemmas above, we have three cases to consider: (i) $\text{type}(P) = \text{type}(Q)$, (ii) $\text{type}(Q) \geq \text{type}(Q) + 2$, and (iii) $\text{type}(Q) = \text{type}(Q) + 1$.

Case (i). Using Lemma 3.1, we have $[P, Q] = [U^{i-1}P'UD^i, U^{i-1}Q'UD^i]$, $1 \leq i \leq p$, where $[P', Q']$ is a linear interval in \mathbb{F}_{n-i}^p . Then the contribution of these intervals is

$$xL_p(x, y) + x^2L_p(x, y) + \dots + x^pL_p(x, y) = (1 - G_p(x)) \cdot L_p(x, y).$$

Case (ii). Using Lemma 3.2, the contribution of paths of structure (2a) is given by

$$x^3 y^3 \sum_{i=0}^{p-3} x^i = \frac{x^3 y^3 (1 - x^{p-2})}{1 - x}.$$

Let $V_p(x, y)$ be the contribution of paths of structure (2b). Since R is either empty or has type between 1 and $p - (j - i)$, the generating function for these paths R is

$$1 + (x + x^2 + \dots + x^{p-(j-i)})F_p(x).$$

Multiplying by $x^j y^{j-i}$ and summing for $1 \leq i, j \leq p$ with $j - i \geq 2$, we obtain the desired result for $V_p(x, y)$:

$$V_p(x, y) = \sum_{\substack{1 \leq i, j \leq p \\ j-i \geq 2}} x^j y^{j-i} \left(1 + \frac{x + x^2 + \dots + x^{p-(j-i)}}{G_p(x)} \right).$$

Case (iii). Using Lemma 3.3, the contribution for paths belonging of structure (3a) is the contribution of R of type in $[1, p - 1]$ (i.e. $1 + (x + x^2 + \dots + x^{p-1})F_p(x)$) multiplied by the contribution of $[U^i(DU)^k D^i, U^i(UD)^k D^i]$ for $k \geq 1$ and $1 \leq i \leq p - 1$, which gives

$$\sum_{k=1}^{+\infty} x^{i+k} y^k = \frac{x^{i+1} y}{1 - xy}.$$

For structure (3b), R has the same contribution as for the previous case (3a), and we need to multiply by the contribution of $[U^{k(p-1)+i} D(UD^p)^k UD^i, U^{k(p-1)+i}(UD^p)^k UD^{i+1}]$, with $k \geq 1$ and $1 \leq i \leq p - 1$. So the generating function is

$$\sum_{i=1}^{p-1} \sum_{k=1}^{+\infty} x^{i+1+kp} y^{k+1} = \frac{x^{p+i+1} y^2}{1 - x^p y}.$$

Paths of structures (3c) have no contribution for $p \geq 3$.

Finally, the generating function for structure (3) is

$$W_p(x, y) = \sum_{i=1}^{p-1} \left(\frac{x^{i+1} y}{1 - xy} + \frac{x^{p+i+1} y^2}{1 - x^p y} \right) \left(1 + \frac{x + x^2 + \dots + x^{p-1}}{G_p(x)} \right),$$

which can be simplified as in the Theorem after computation. Finally, adding everything up we obtain the expected result for $L_p(x, y)$. \square

Remark 3.8. We did not succeed to obtain a nice closed form for $L_p(x, y)$. However, even though the expression of $L_p(x, y)$ is quite heavy, we can observe that its main singularity is the smallest root r_p of $G_p(x)$. In particular it does not depend on y . This yields, see for instance [21], that the limit law of the length of the linear intervals in \mathbb{F}_n^p as $n \rightarrow \infty$ is discrete. Furthermore, r_p is a singularity of multiplicity 2 in $L_p(x, 1)$, thus the number of linear intervals in \mathbb{F}_n^p is asymptotically $c \cdot n \cdot r_p^{-n}$ for some constant c (see [21]), so by Corollary 2.2, it is proportional to the number of coverings.

3.3. In the lattice \mathbb{F}_n^∞ . The generating function $L_\infty(x, y)$ for the linear interval in \mathbb{F}_n^∞ is obtained using a discrete continuity argument.

Corollary 3.9. *The generating function of the linear intervals in \mathbb{F}_n^∞ with respect to n and the interval height is given by*

$$L_\infty(x, y) = \frac{1 - y^2(1 + y)^2 x^4 + 2x^5 y^4 - (3 - y - y^2)x^3 y + 2(2y + 1)x^2 - (3 + y)x}{(1 - xy)(1 - 2x)^2}.$$

Proof. Once again we could go through the structure of the linear intervals in \mathbb{F}_n^∞ and do a similar proof as for \mathbb{F}_n^p , but we can also use a discrete continuity argument, as in Corollary 2.7. Indeed, with the same argument, we have $L_p \rightarrow L_\infty$ as $p \rightarrow \infty$. We have the following limits as $p \rightarrow \infty$:

$$G_p(x) \rightarrow \frac{1-2x}{1-x}, \quad \frac{x^3 y^3 (1-x^{p-2})}{1-x} \rightarrow \frac{x^3 y^3}{1-x}, \quad W_p(x, y) \rightarrow \frac{x^2 y}{(1-xy)(1-2x)},$$

and with the help of a computer algebra program, $V_p(x, y) \rightarrow \frac{x^3 y^2}{(1-xy)(1-2x)}$. We deduce that

$$L_\infty(x, y) = \frac{1-x}{1-2x} \left(1 + \frac{x^3 y^3}{1-x} + \frac{x^2 y}{(1-xy)(1-2x)} + \frac{x^3 y^2}{(1-xy)(1-2x)} \right),$$

which gives the desired expression. □

Corollary 3.10. *The generating function for the number of linear intervals in \mathbb{F}_n^∞ is*

$$L_\infty(x, 1) = \frac{1-3x+3x^2+2x^3-2x^4}{(1-2x)^2},$$

and the coefficient of x^n in the series expansion is $[x^0]L_\infty(x, 1) = [x^1]L_\infty(x, 1) = 1$, $[x^2]L_\infty(x, 1) = 3$, and

$$[x^n]L_\infty(x, 1) = (3n+1) \cdot 2^{n-3} \quad \text{for } n \geq 3.$$

Corollary 3.11. *The generating function of the limit distribution of the length of the linear intervals in \mathbb{F}_n^∞ as $n \rightarrow \infty$ is given by*

$$\frac{y(2+y)}{3(2-y)}.$$

This is a ‘geometric-like’ law with parameter $1/2$. It has expected value $7/3$, variance $20/9$, and if p_k denotes the asymptotic proportion of linear intervals having length k , then

$$\begin{cases} p_0 &= 0, \\ p_1 &= 1/3, \\ p_k &= \frac{1}{3 \cdot 2^{k-2}} \text{ for } k \geq 2. \end{cases}$$

Proof. Near $1/2$, the main singularity of $L_\infty(x, y)$, we have the following approximation:

$$L_\infty(x, y) \sim \frac{y(2+y)}{32(2-y)} \left(\frac{1}{2} - x \right)^{-2}.$$

We then easily deduce the desired generating function:

$$\frac{[x^n]L(x, y)}{[x^n]L(x, 1)} \xrightarrow{n \rightarrow +\infty} \frac{y(2+y)}{3(2-y)} = \frac{y}{3} + \sum_{k \geq 2} \frac{y^k}{3 \cdot 2^{k-2}}.$$

□

4. INTERVALS

In this section we count intervals in \mathbb{F}_n^2 and \mathbb{F}_n^∞ . A crucial point in our study is the following three facts which can be checked with a simple observation.

Fact 4.1. *Let $m, n \geq 1$, and let $[P', Q']$ be an interval of \mathbb{F}_n^2 (resp. \mathbb{F}_n^∞). Delete in P' and Q' the first peak UD , to obtain two paths P and Q of \mathbb{F}_{n-1}^2 (resp. \mathbb{F}_{n-1}^∞). Then they form an interval $[P, Q]$.*

Fact 4.2. *Conversely, start from an interval $[P, Q] = [U^i D^k \alpha, U^j D^\ell \beta]$ in \mathbb{F}_{n-1}^∞ where $k, \ell \geq 1$ are maximal and α, β possibly empty. Inserting a peak UD in the first ascents of P and Q , starting at heights $a \in [0, i]$ and $b \in [0, j]$ respectively, yields an interval $[P', Q']$ in \mathbb{F}_n^∞ if and only if $a \in \{i-1, i\}$, $b \in \{j-1, j\}$ and $a \leq b$.*

Fact 4.3. *Conversely, start from an interval $[P, Q] = [U^i D^k \alpha, U^j D^\ell \beta]$ in \mathbb{F}_{n-1}^2 where $k, \ell \in \{1, 2\}$ are maximal and α, β possibly empty. Inserting a peak UD in the first ascents of P and Q , starting at heights $a \in [0, i]$ and $b \in [0, j]$ respectively, yields an interval $[P', Q']$ in \mathbb{F}_n^2 if and only if*

- (1) $a \in \{i-1, i\}$, $b \in \{j-1, j\}$, $a \leq b$, when $k = \ell = 1$,
- (2) $a \in \{i-1, i\}$, $b = j-1$, $a \leq b$, when $k = 1$, $\ell = 2$,
- (3) $a = i-1$, $b \in \{j-1, j\}$, when $k = 2$, $\ell = 1$,
- (4) $a = i-1$, $b = j-1$, when $k = 2$, $\ell = 2$.

4.1. In the lattice \mathbb{F}_n^∞ . According to Fact 4.2, the rule that describes the first ascent lengths of paths $P' \leq Q'$ obtained from $[P, Q] \in \mathbb{F}_n^\infty$ in terms of the first ascent lengths a and b of P and Q is

$$(4.1) \quad (a, b) \rightarrow \begin{cases} (a, b+1), (a+1, b+1), (a, b), & \text{if } b-a=0, \\ (a, b+1), (a+1, b+1), (a, b), (a+1, b), & \text{if } b-a \geq 1, \end{cases}$$

starting with the root $(1, 1)$ corresponding to the interval $[UD, UD]$. In fact, the above rules describe the construction of some paths in the first quadrant of the plane.

Theorem 4.4. *There is a bijection between intervals in \mathbb{F}_n^∞ and bicolored Motzkin paths of length $n-1$ in the quarter plane, i.e., paths in the quarter plane starting at $(0, 0)$ and consisting of $n-1$ steps $U = (1, 1)$, $D = (1, -1)$, $F_1 = (1, 0)$, $F_2 = (1, 0)$.*

Proof. To see the bijection, it suffices to rewrite the above rules in an equivalent system (in the sense where the rules generate an isomorphic generating tree). We start at the origin $(0, 0)$ of the plane (corresponding to the interval $[UD, UD]$), and after x steps, if we are at the point (x, y) , we can jump to the following points

$$(x, y) \rightarrow \begin{cases} (x+1, y+1), (x+1, y)_1, (x+1, y)_2, & \text{if } y=0, \\ (x+1, y+1), (y+1, y)_1, (x+1, y)_2, (x+1, y-1), & \text{if } y>0. \end{cases}$$

In comparison to (4.1), x is the number of steps, and $y = b - a$. Note that we use the subscripts 1 and 2 to distinguish the step $(a, b) \rightarrow (a, b)$ from $(a, b) \rightarrow (a+1, b+1)$. The bicolored Motzkin path is then constructed from the origin $(0, 0)$ using steps $U = (1, 1)$, $D = (1, -1)$, $F_1 = (1, 0)$, $F_2 = (1, 0)$ corresponding to the rules $(x, y) \rightarrow (x+1, y+1)$, $(x, y) \rightarrow (x+1, y-1)$, $(x, y) \rightarrow (x+1, y)_1$ and $(x, y) \rightarrow (x+1, y)_2$, respectively. See Figure 6 for an illustration of the bijection. \square

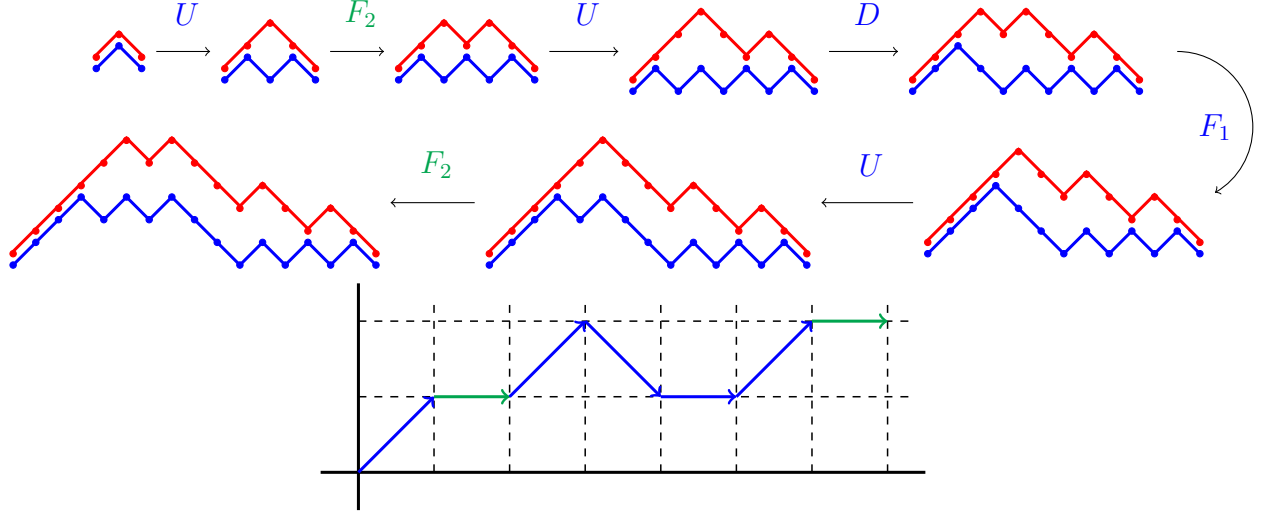


FIGURE 6. The generation of the interval $[U^2(UD)^3D^2(UD)^3, U^4(UD)^2D^2(UD^2)^2]$ using the rules in the proof of Theorem 4.4. This interval is thus associated with the bicolored Motzkin path $UF_2UDF_1UF_2$.

Theorem 4.5. *The generating function $I(x, y)$ where the coefficient $x^n y^k$ is the number of intervals $[P, Q] \in \mathbb{F}_n^\infty$ such that the difference between the lengths of the first ascent of Q and P equals k , is given by*

$$I(x, y) = 1 + \frac{2x}{1 - 2x - 2xy + \sqrt{1 - 4x}}.$$

The generating function for the number of intervals is

$$I(x, 1) = \frac{1}{2} \left(1 + \frac{1}{\sqrt{1 - 4x}} \right),$$

and the coefficient of x^n in the series expansion is given by $\binom{2n-1}{n}$. (A001700 with a shift in [30]).

Proof. By Theorem 4.4, it suffices to count the bicolored Motzkin paths of length n ending at ordinate k . Let \mathcal{M}_n^k be the set of these paths and \mathcal{M}^k the set of these paths of any length. Let $M(x, y)$ be the generating function for these paths, x tracking the length and y the final height. A nonempty Motzkin path M ending at height $k \geq 0$ can be decomposed either $M = UM_1$ with $M_1 \in \mathcal{M}_{n-1}^{k-1}$, or $M = F_1M_1$ or $M = F_2M_1$ with $M_1 \in \mathcal{M}_{n-1}^k$, or UM_1DM_2 where $M_1 \in \mathcal{M}^0$ and $M_2 \in \mathcal{M}^k$, From this decomposition We deduce the functional equation

$$M(x, y) = 1 + (2x + xy + x^2M(x, 0))M(x, y),$$

and so

$$M(x, y) = \frac{2}{1 - 2x - 2xy + \sqrt{1 - 4x}}.$$

Finally, we obtain $I(x, y)$ and $I(x, 1)$ with the shift $I(x, y) = 1 + xM(x, y)$. \square

Theorem 4.7. *The generating function $J(x, y)$ for the number of intervals $[P, Q]$ in \mathcal{F}_n^2 with respect to n and the difference of the lengths of ascents of Q and P is given by*

$$J(x, y) = \frac{1 + x - x^2 + \sqrt{x^4 - 2x^3 - x^2 - 2x + 1}}{(1 - x^2)\sqrt{x^4 - 2x^3 - x^2 - 2x + 1} + 1 + x^4 - x^3 - 2(y + 1)x^2 - x}.$$

The generating function $J(x, 1)$ for the number of intervals $[P, Q]$ in \mathcal{F}_n^2 is

$$J(x, 1) = \frac{-x^2 + 3x - 1 + \sqrt{x^4 - 2x^3 - x^2 - 2x + 1}}{2x(x^2 - 3x + 1)(x + 1)}.$$

The coefficient of x^n in the series expansion is asymptotically

$$\frac{11 + 5\sqrt{5}}{20} \sqrt{\frac{14\sqrt{5} - 30}{\pi}} \cdot n^{-1/2} \left(\frac{3 + \sqrt{5}}{2}\right)^n.$$

Proof. By Theorem 4.6, it suffices to count bicolored Motzkin paths avoiding the patterns $F_2F_2, F_2D, F_2U, DF_2, UF_2, UU, DD$ with respect to the number of steps and the final height. We classify those paths in 9 different categories:

- (i) the empty path,
- (ii) paths starting with an F_1 , followed by any path of the class,
- (iii) the path F_2 ,
- (iv) paths starting with F_2F_1 , followed by any path of the class,
- (v) the path U ,
- (vi) paths starting with UD , followed by a path of the class not starting with F_2 ,
- (vii) paths starting with UF_1 , followed by any path of the class,
- (viii) paths starting with UF_1D , followed by a path of the class not starting with F_2 ,
- (ix) paths starting with UF_1 , followed by any path of the class ending at height 0, followed by F_1D , followed by any path of the class not starting with F_2 .

This decomposition gives the following equation:

$$\begin{aligned} A(x, y) &= 1 + xA(x, y) + x + x^2A(x, y) + xy + x^2(A(x, y) - x - x^2A(x, y)) \\ &\quad + x^2yA(x, y) + x^3(A(x, y) - x - x^2A(x, y)) \\ &\quad + x^4A(x, 0)(A(x, y) - x - x^2A(x, y)). \end{aligned}$$

By specializing $y = 0$ and solving this equation, we find

$$A(x, 0) = \frac{1 - x - x^2 - 2x^3 - \sqrt{x^4 - 2x^3 - x^2 - 2x + 1}}{2x^4}.$$

By plugging this expression in the previous equation we can then solve for $A(x, y)$, which gives

$$A(x, y) = \frac{x\sqrt{x^4 - 2x^3 - x^2 - 2x + 1} + 2 - x^3 + x^2 + (2y + 1)x}{(1 - x^2)\sqrt{x^4 - 2x^3 - x^2 - 2x + 1} + 1 + x^4 - x^3 - 2(y + 1)x^2 - x}.$$

Then we obtain $J(x, y)$ from $A(x, y)$ with the shift $J(x, y) = 1 + xA(x, y)$. □

The first terms of the series expansion of $J(x, 1)$ are

$$1 + x + 3x^2 + 6x^3 + 15x^4 + 35x^5 + 86x^6 + 210x^7 + 520x^8 + 1292x^9 + O(x^{10}),$$

and the sequence of coefficients does not appear in [30].

4.3. In the lattice $\mathbb{F}_n^p, p \geq 3$. The following theorem gives a generalization of Theorem 4.6, providing a bijection between intervals in \mathbb{F}_n^p for any $p \geq 3$, and some bicolored Motzkin paths. However, obtaining a count of those paths seems challenging, because of the exponential number of forbidden patterns.

Theorem 4.8. *There is a bijection between intervals in \mathbb{F}_n^p and bicolored Motzkin paths of length $n - 1$ and avoiding the $2^{p+1} - 1$ patterns of the set $\{F_2, U\}^p \cup \{F_2, D\}^p$.*

Proof. An interval $[P, Q]$ in \mathbb{F}_n^p can be generated with similar rules as in Theorem 4.4. However we need to be careful not creating an occurrence of D^{p+1} in P or Q . Such an occurrence appears in P if and only if, with the notation of Fact 4.2, we insert p times consecutively the factor UD at height i (and similarly with Q at height j). The steps corresponding to an insertion at height i in P (resp. j in Q) are F_2 and D (resp. F_2 and U). The theorem then follows. \square

5. BIJECTIONS WITH OTHER COMBINATORIAL OBJECTS

The generalized Fibonacci numbers count a variety of combinatorial objects, so with no surprise, there are a lot of bijections between \mathcal{F}_n^p and other objects. In this section we present some of them, with well-known objects, and we show how the lattice structure is conveyed. As a consequence, Theorems 4.5 and 4.7 also give the enumeration of the intervals viewed as in Propositions 5.1, 5.2 and 5.5, for $p \in \{2, \infty\}$.

5.1. Catalan words. A length n *Catalan word* is a word $w_1 \dots w_n$ over the set of non-negative integers, with $w_1 = 0$ and $0 \leq w_i \leq w_{i-1} + 1$ for $i = 2, 3, \dots, n$. We present a bijection between \mathcal{F}_n^p and the set \mathcal{C}_n^p of length n non-decreasing Catalan words avoiding $p + 1$ consecutive occurrences of the same letter. Similarly, \mathcal{F}_n^∞ is in bijection with the set \mathcal{C}_n^∞ of length n and non-decreasing Catalan words. Let P be a Dyck path in \mathcal{F}_n^p . Label the n down steps of P from 1 to n and from right to left. For $i = 1, \dots, n$, let w_i be the number of up steps in P that are at the right of the down step number i . We set $w(P) = w_1 \dots w_n$. Since P avoids DUU , $w(P)$ is a Catalan word. It is clearly non-decreasing by construction, and since P avoids D^{p+1} , $w(P)$ does not have $p + 1$ consecutive occurrences of the same letter. Conversely, let $w = w_1 \dots w_n \in \mathcal{C}_n^p$. Let $k := w_n$ be the greatest letter in w , and for $i = 0, \dots, k$, let a_i be the number of occurrences of the letter i in w . Let $P = U^{n-k} D^{a_k} U D^{a_{k-1}} \dots U D^{a_1} U D^{a_0}$. It is easy to check that $w(P) = w$, P avoids DUU , and since $a_i \leq p$ for $0 \leq i \leq k$, P avoids D^{p+1} , thus $P \in \mathcal{F}_n^p$. Then, w is a bijection between \mathcal{F}_n^p and \mathcal{C}_n^p (for $p \geq 2$ and $p = \infty$), see Figure 8.

As a consequence, \mathbb{F}_n^p induces a lattice structure on \mathcal{C}_n^p , the cover relation being

$$v \triangleleft w \iff \text{there exists } i \text{ such that } v_i = w_i + 1, \text{ and } v_j = w_j \text{ for } j \neq i.$$

See Figure 11 for an illustration. We then deduce the following proposition.

Proposition 5.1. *Let $v, w \in \mathcal{C}_n^p$. Then $[v, w]$ is an interval in \mathbb{F}_n^p if and only if for all $1 \leq i \leq n$, $w_i \leq v_i$.*

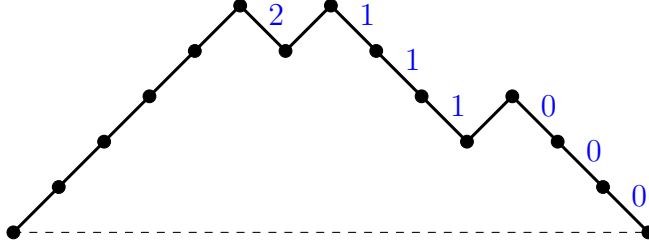


FIGURE 8. The path $P = U^5D(UD^3)^2 \in \mathcal{F}_7^\infty$ is associated with the Catalan word $w(P) = 0001112$.

5.2. **Compositions.** In this section we present a bijection between the elements of \mathcal{F}_n^p and the compositions of n with parts in $[1, p]$, and between \mathcal{F}_n^∞ and all compositions of n . This one is quite natural, since any path $P \in \mathcal{F}_n^p$ can be uniquely written $P = U^{n-k+1}D^{\lambda_k}UD^{\lambda_{k-1}} \dots UD^{\lambda_1}$ with $\lambda_1, \dots, \lambda_k \in [1, p]$, and so $\lambda(P) := (\lambda_1, \dots, \lambda_k)$ is a composition of n with parts in $[1, p]$. We then easily see that $P \mapsto \lambda(P)$ is a bijection, see Figure 9. Thus \mathbb{F}_n^p induces a lattice structure on the compositions of n with parts in $[1, p]$, the cover relation being

$$(\lambda_1, \dots, \lambda_k) \prec (\mu_1, \dots, \mu_\ell) \iff \begin{cases} k = \ell, \text{ and there exists } i \in [2, k] \text{ such that} \\ \lambda_i > 1, (\mu_{i-1}, \mu_i) = (\lambda_{i-1} + 1, \lambda_i - 1), \\ \text{and } \mu_j = \lambda_j \text{ for } j \notin \{i-1, i\}, \text{ or} \\ \ell = k - 1, \lambda_k = 1, \mu_\ell = \lambda_{k-1} + 1, \\ \text{and } \mu_j = \lambda_j \text{ for } j \in [1, k-2]. \end{cases}$$

See Figure 11 for an illustration. From this we deduce the following proposition.

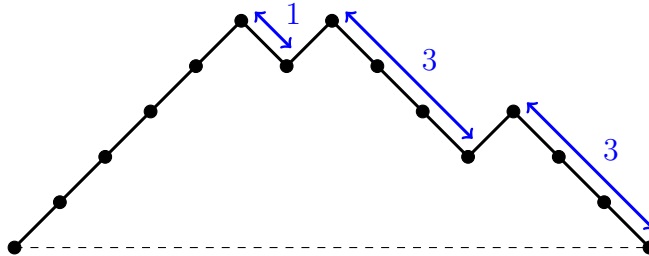


FIGURE 9. The path $P = U^5D(UD^3)^2 \in \mathcal{F}_7^\infty$ is associated with the composition $\lambda(P) = (3, 3, 1)$.

Proposition 5.2. *The order induced by \mathbb{F}_n^p on the compositions of n with parts in $[1, p]$ is known as the dominance order [11], defined by $\lambda \leq \mu$ if and only if for all k we have $\sum_{i=1}^k \lambda_i \leq \sum_{i=1}^k \mu_i$.*

5.3. **Power set of $[1, n-1]$.** Here we present a bijection between \mathcal{F}_n^p , $p \in \mathbb{N}_{\geq 2} \cup \{\infty\}$, and the subsets of $[1, n-1]$ having no p consecutive elements (when $p = \infty$ it is just the whole powerset). Let $P \in \mathcal{F}_n^p$ be a Dyck path. We write $P = U^iDQ$ with i the length of the first run of U 's in P , and Q a path with $n-1$ D 's and $n-i$ U 's, avoiding UU . Then, label the D 's of Q from 1 to $n-1$ and from left to right. Let $A(P) \subseteq [1, n-1]$ be the set of labels of

the D 's that are not preceded by a U , see Figure 10 for an example. It is easy to check that if $P \in \mathcal{F}_n^p$ then $A(P)$ does not have p consecutive elements.

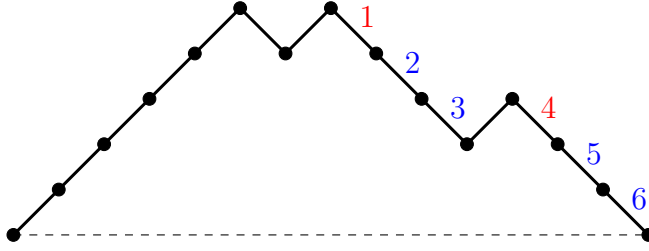


FIGURE 10. The path $P = U^5D(UD^3)^2 \in \mathcal{F}_7^\infty$ is associated with the subset $A(P) = \{2, 3, 5, 6\} \subseteq \{1, \dots, 6\}$.

Conversely, if $A \subseteq [1, n-1]$, we build the following path: we start with $U^{|A|+1}D$, and then we add a path $Q = Q_1Q_2 \dots Q_{n-1}$ so that $Q_i = D$ if $i \in A$, and $Q_i = UD$ if $i \notin A$. If A does not contain p consecutive elements, then it is easy to check that this path belongs to \mathcal{F}_n^p . We then obtain a lattice on the subsets of $[1, n-1]$ not having p consecutive elements (all the subsets when $p = \infty$) with the following covering relation (see Figure 11):

$$A \triangleleft B \iff \begin{cases} 1 \notin A \text{ and } B = A \cup \{1\}, \text{ or} \\ \text{there exists a unique } x \in A \text{ such that } B = \{x+1\} \cup A \setminus \{x\}. \end{cases}$$

Remark 5.3. In this setting, it is easy to see that the lattice is graded, the rank function ρ being defined by $\rho(A) = \sum_{x \in A} x$. When $p = \infty$, the maximal element is $\{1, 2, \dots, n-1\}$, so the rank of \mathbb{F}_n^∞ is $n(n-1)/2$. When $p \in \mathbb{N}_{\geq 2}$, the maximal element is $\{1, 2, \dots, n-1\} \setminus \{n-p, n-2p, \dots, n - \lfloor \frac{n-1}{p} \rfloor p\}$, so the rank of \mathbb{F}_n^p is

$$\rho(\mathbb{F}_n^p) = \frac{n(n-1)}{2} - \left\lfloor \frac{n-1}{p} \right\rfloor \cdot \left(n - \frac{p \left(\left\lfloor \frac{n-1}{p} \right\rfloor + 1 \right)}{2} \right).$$

For a set $A \subseteq [1, n-1]$, we denote by $A^c = [1, n-1] \setminus A$ its complement.

Theorem 5.4. For all $A, B \in \mathbb{F}_n^\infty$, $A \triangleleft B$ if and only if $B^c \triangleleft A^c$. So complement is a reverse ordering involution on \mathbb{F}_n^∞ .

Proof. $B = A \cup \{1\}$ if and only if $A^c = B^c \cup \{1\}$, and $B = \{x+1\} \cup A \setminus \{x\}$ if and only if $A^c = \{x+1\} \cup B^c \setminus \{x\}$. \square

Proposition 5.5. If $A, B \subseteq [1, n-1]$, then $[A, B]$ is an interval in \mathbb{F}_n^p if and only if $A = B$ or

- (i) $\sum_{x \in A} x < \sum_{x \in B} x$,
- (ii) $|A| \leq |B|$,
- (iii) $|A \setminus \{1\}| \leq |B \setminus \{1\}|$, and
- (iv) if $A = \{x_1 > x_2 > \dots > x_{|A|}\}$ and $B = \{y_1 > y_2 > \dots > y_{|B|}\}$, then $(x_1, \dots, x_{|A|}) \leq_{lex} (y_1, \dots, y_{|A|})$, where \leq_{lex} is the lexicographic order.

Proof. Let $P, Q \in \mathcal{F}_n^p$ such that $[P, Q]$ is an interval in \mathbb{F}_n^p , with $P \neq Q$. Let us prove that $[A(P), A(Q)]$ satisfies (i-iv). Since $A(P) \neq A(Q)$, we necessarily have $\rho(A(P)) < \rho(A(Q))$, hence (i). Since P lies below Q , the length of its first run of U 's is lower than the one of Q , hence (ii). Now that we have (ii), the only possibility for (iii) to be false is that $|A(P)| = |A(Q)|$, $1 \in A(Q)$ and $1 \notin A(P)$. But this would mean that P (resp. Q) has the prefix $U^k DU$ (resp. $U^k D^2$) for some k , a contradiction to $P \leq Q$. Let $A(P) = \{x_1 > \dots > x_k\}$ and $A(Q) = \{y_1 > \dots > y_l\}$, with $l \geq k$. Let i be such that $x_1 = y_1, \dots, x_{i-1} = y_{i-1}$ and $x_i \neq y_i$. Suppose that $x_i > y_i$. This means that there exists a path S such that P has the suffix $D^2 S$, and Q has the suffix $U D S$, again a contradiction to $P \leq Q$, hence (iv). Conversely, with similar arguments as before, we indeed have that if $A(P)$ and $A(Q)$ satisfy (i-iv), then $P < Q$. \square

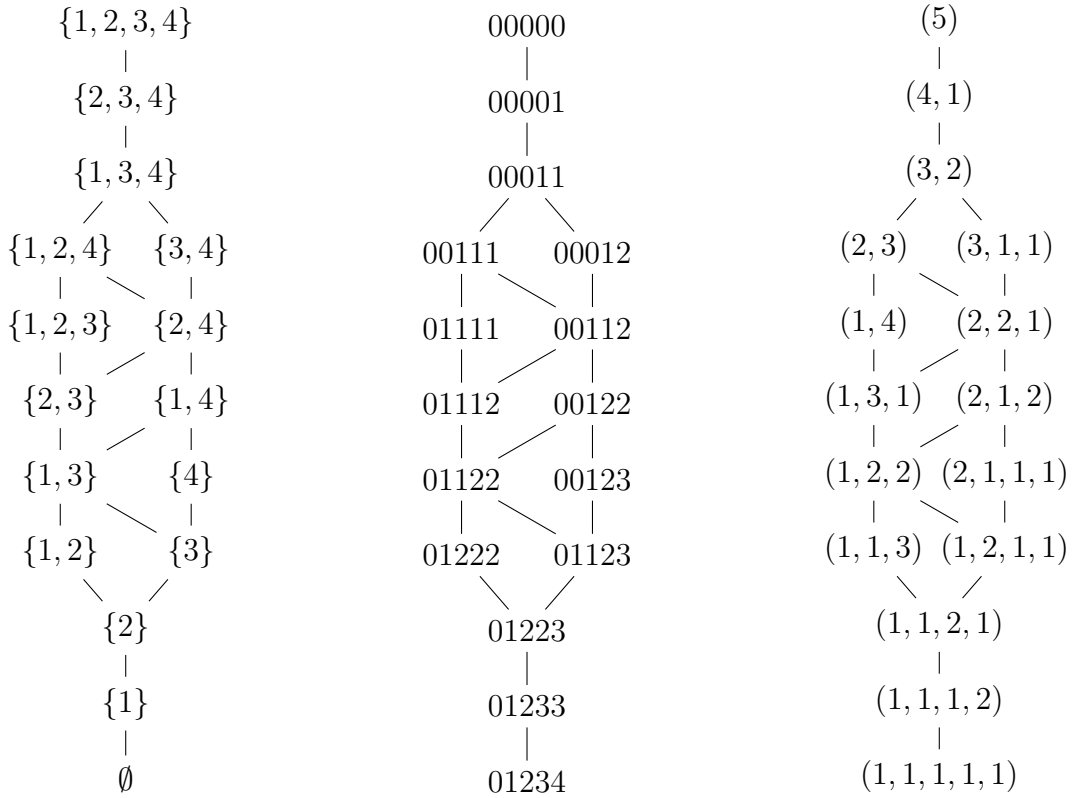


FIGURE 11. The lattice \mathbb{F}_5^∞ on the power set of $\{1, 2, 3, 4\}$ (left), the non-decreasing Catalan words of length 5 (center) and the compositions of 5 (right).

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